

Can you hear the Planck mass?

G. Bruno De Luca - Stanford University

Based on

2405.XXXXX with De Ponti, Mondino, Tomasiello

2104.12773 with Tomasiello

2109.11560, 2212.02511, 2306.05456, with De Ponti, Mondino, Tomasiello

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Spin 2 Kaluza-Klein modes

- A single higher-dimensional field gives rise to infinite towers of 4-dimensional fields: Kaluza-Klein modes

$$ds_D^2 = e^{2A(y)}(g_4^\Lambda(x) + g_n(y))$$

$$\varphi(x, y) = \varphi^0(x) + \sum_i \delta\phi(x)\xi_i(y)$$

$$g_{4\mu\nu}(x) = g_{\mu\nu}^{(\Lambda)}(x) + \sum_i h_{\mu\nu}^i(x)\psi_i(y)$$

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- The masses of these fields, are given by eigenvalues of certain differential operators in the internal space. For example

[Duff, Nilsson, Pope, '85]

Table 5
Mass operators from the Freund-Rubin ansatz

Spin	Mass operator
2^+	Δ_0
$(3/2)^{(1), (2)}$	$\not{D}_{1/2} + 7m/2$
$1^{-(1), (2)}$	$\Delta_1 + 12m^2 \pm 6m(\Delta_1 + 4m^2)^{1/2}$
1^+	Δ_2
$(1/2)^{(4), (1)}$	$\not{D}_{1/2} - 9m/2$
$(1/2)^{(3), (2)}$	$3m/2 - \not{D}_{3/2}$
$0^{+(1), (3)}$	$\Delta_0 + 44m^2 \pm 12m(\Delta_0 + 9m^2)^{1/2}$
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- But when $A(y)$ is non-zero, the general form is not known, except for the **universal spin 2 sector**

$$f \equiv (D - 2)A \quad \Delta_f \psi_i \equiv \Delta \psi_i - \nabla f \cdot \nabla \psi_i = m_i^2 \psi_i$$

[Csaki, Erich, Hollowood, Shirman, '00, Bachas, Estes, '11]

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- In particular, $f \neq 0$ for compactifications with sources, where it is generically singular.
- We want to find general results on the masses m_i^2 , for *any* compactification solving its equations of motion:

$$\frac{1}{D-2} e^{-f} \Delta(e^f) = \frac{1}{d} \hat{T}^{(d)} - \Lambda$$

$$R_{mn} - \nabla_m \nabla_n f + \frac{1}{n - (2 - d)} \nabla_m f \nabla_n f = \Lambda g_{mn} + \tilde{T}_{mn}$$

Curvature bounds and the spectrum

$$R_{mn} - \nabla_m \nabla_n f + \frac{1}{D-2} \nabla_m f \nabla_n f = \Lambda g_{mn} + \tilde{T}_{mn}$$

$$\tilde{T}_{mn} \equiv m_D^{2-D} \left(T_{mn} - \frac{T^{(d)}}{d} g_{mn} \right)$$

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- Synthetic Ricci curvature in effective dimension $N = 2 - d$
- Studied in the Optimal Transport literature, controls the spectrum of Δ_f
 - Allows to derive rigorous bounds on $m_{KK}^2 / |\Lambda|$ in general
 - Stronger bounds if only classical sources are allowed. But not enough to prove absence of separation of scales even with just classical sources

[Sturm '06, Lott, Villani '07, Villani '09, Ambrosio, Gigli, Savaré '14, ...]

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- Are there universal results, independent of the curvature bounds?

- Yes: Bounds in terms of the Cheeger constants [GBDL, De Ponti, Mondino, Tomasiello, '21]
 - Allow to rigorously bound and estimate the spectrum of the warped Laplacian even in presence of orientifold planes
 - Can be used to check sep. of scale in explicit proposed examples (e.g. DGKT) without needing to compute the spectrum

$$m_1^2 \geq \frac{1}{4} h_1^2 \quad m_k^2 \geq C k^{-6} h_k^2$$

[DeWolfe, Giryavets, Kachru, Taylor, '05
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$$h_1^2 \sim N^{-1/2}, \quad |\Lambda| \sim N^{-3/2}$$

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- **This talk:** another class of universal properties

Weyl law

- The eigenvalues of the standard Laplacian on smooth, compact, n -dimensional Riemannian manifolds satisfy

$$\Delta_0 \psi_i = \lambda_i \psi_i \quad \lambda_k \sim 4\pi \Gamma(1 + n/2)^{2/n} \text{Vol}^{-2/n} k^{2/n} \quad k \gg 1$$

[Weyl, 1912
Minakshisundaram, Pleijel, 1949
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- You cannot hear the shape of a drum but you can hear its area! [Kac, 1966,...]

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- For a compactification without warping ($f = 0$): $m_k^2 = \lambda_k$ $m_{Pl,4}^2 = m_{Pl,D}^{D-2} \text{Vol}$

- Knowing the asymptotics of the spectrum allows to reconstruct the $4d$ Planck mass

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- With warping: $\Delta_f \psi_k = (\Delta - \nabla f \cdot \nabla) \psi_k = m_k^2 \psi_k$ $m_{Pl,4}^2 = m_{Pl,D}^{D-2} \text{Vol}_f$ $\text{Vol}_f \equiv \int_{M_n} \sqrt{g_n} e^f$

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- With warping: $\Delta_f \psi_k = (\Delta - \nabla f \cdot \nabla) \psi_k = m_k^2 \psi_k$ $m_{Pl,4}^2 = m_{Pl,D}^{D-2} \text{Vol}_f$ $\text{Vol}_f \equiv \int_{M_n} \sqrt{g_n} e^f$

- Naively, we would expect the Weyl law for the weighted Laplacian still depends on the Planck mass, so on the warped volume

- But this is false: for weighted Laplacians the Weyl law still depends on the ordinary volume

- Next:** we will obtain the Weyl law for the warped case by using consistency of the gravitational theory, and extend it to spaces with singularities

Weyl law from the gravitational potential

- Main idea: The $4d$ theory at distances ρ much smaller than the compactification scale has to reproduce the higher- D behavior. For this to happen, the very massive KK modes have to behave in an appropriate way.
- We can check this explicitly in the universal spin 2 sector
 - Take a general higher dimensional theory of gravity
 - And compute the gravitational potential U between two mass sources with masses M_1 and M_2

$$S = m_D^{D-2} \int \sqrt{-g_D} R_D + \dots$$
$$+$$
$$S_{M_{1,2}} = -M_{1,2} \int_{\Sigma} \sqrt{-g_D|_{\Sigma}}$$

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- We can check this explicitly in the universal spin 2 sector
 - Take a general higher dimensional theory of gravity
 - And compute the gravitational potential U between two mass sources with masses M_1 and M_2
- We compare two situations:

$$S = m_D^{D-2} \int \sqrt{-g_D} R_D + \dots$$
$$+ S_{M_{1,2}} = -M_{1,2} \int_{\Sigma} \sqrt{-g_D|_{\Sigma}}$$

①

D -dimensional space-time is Mink_D

②

D -dimensional space-time is a warped product $\mathcal{M}_4 \times X$

$$ds_D^2 = e^{2A(y)} (\bar{\eta}_{\mu\nu} dx^\mu dx^\nu + g_n(y))$$

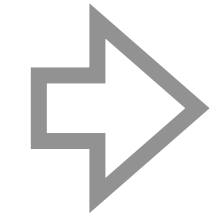
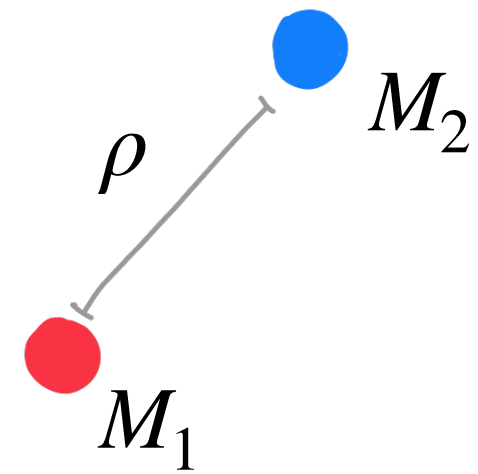
- And require $U_{4d}(\rho) \rightarrow U_D(\rho) \quad \rho \rightarrow 0$

[Readily generalizes to higher dimensions or $\Lambda \neq 0$]

Constraint on spectral data from gravity

①

Mink_D

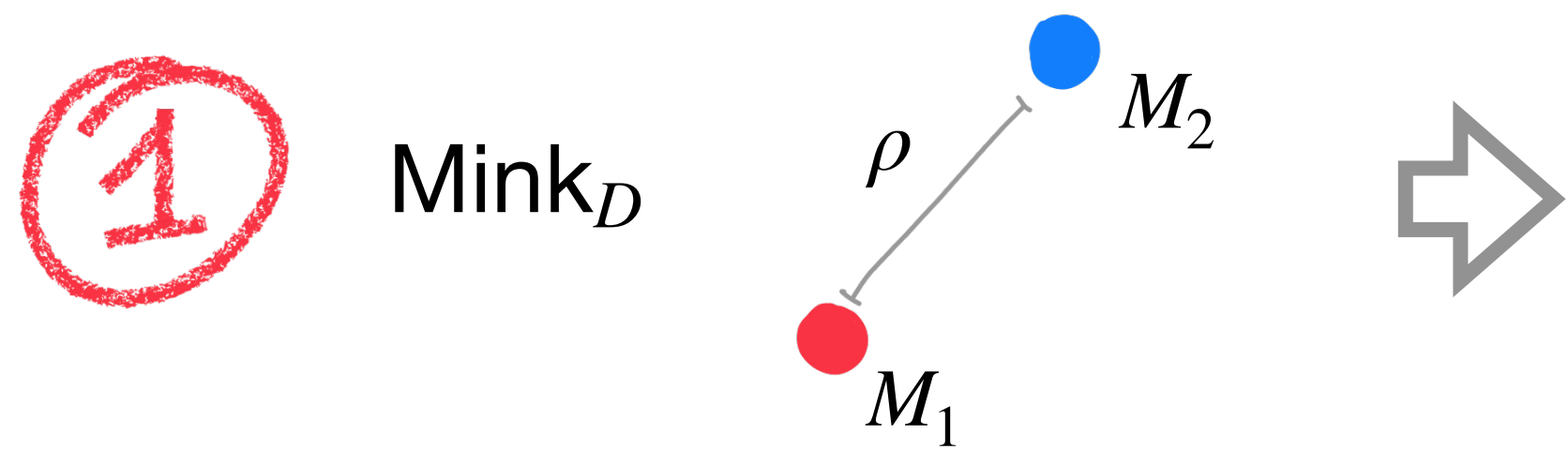


$$\Delta_{D-1} h_{00} = \frac{M_1}{2} \delta_{D-1}$$

$$h_{00} = -\frac{M_1}{2(D-3)\omega_{(D-2)}} \frac{1}{\rho^{D-3}}$$

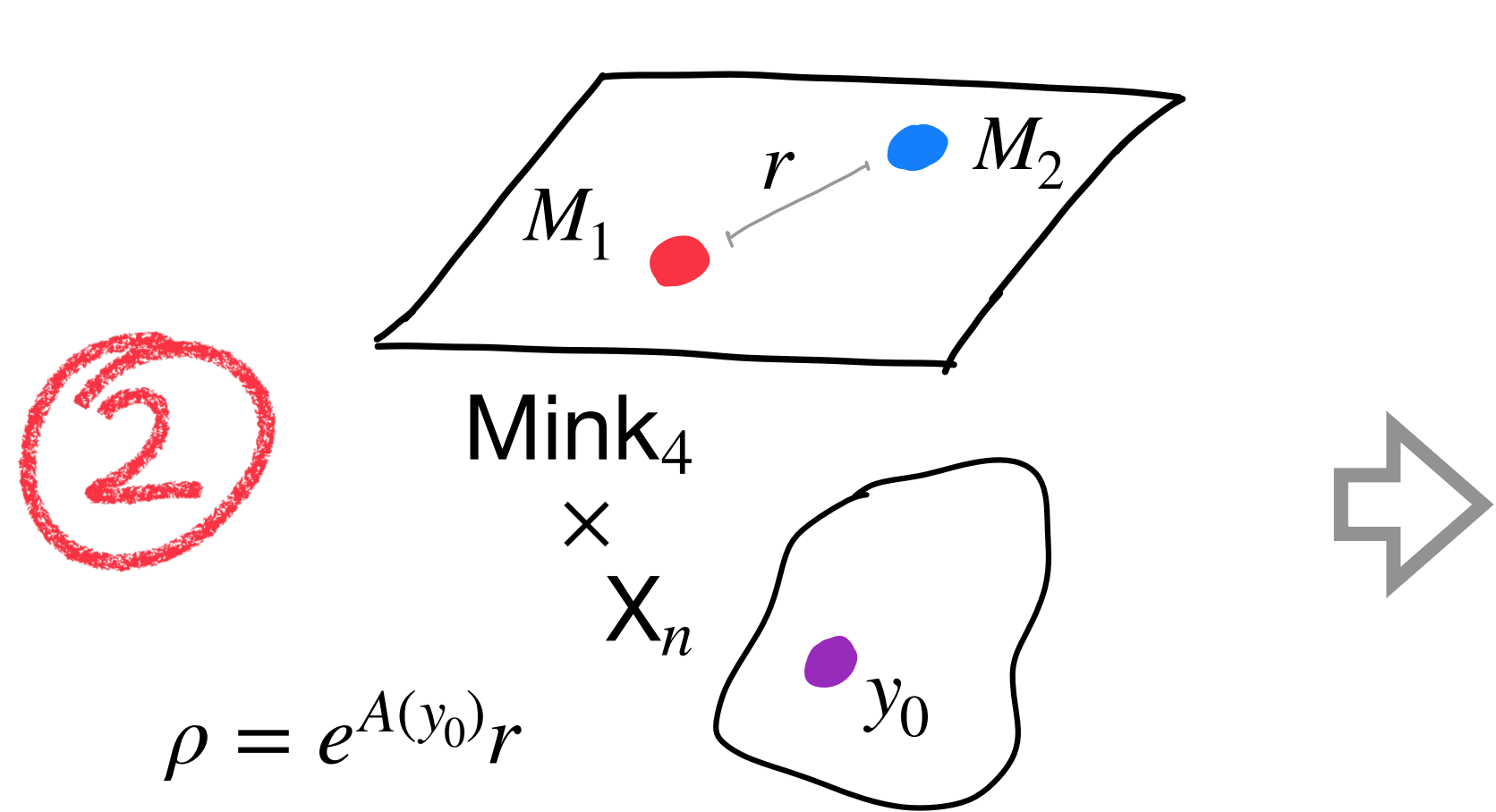
$$\underline{U_D(\rho) = M_2 \left(1 - m_{Pl,D}^{2-D} h_{00}(\rho) \right)}$$

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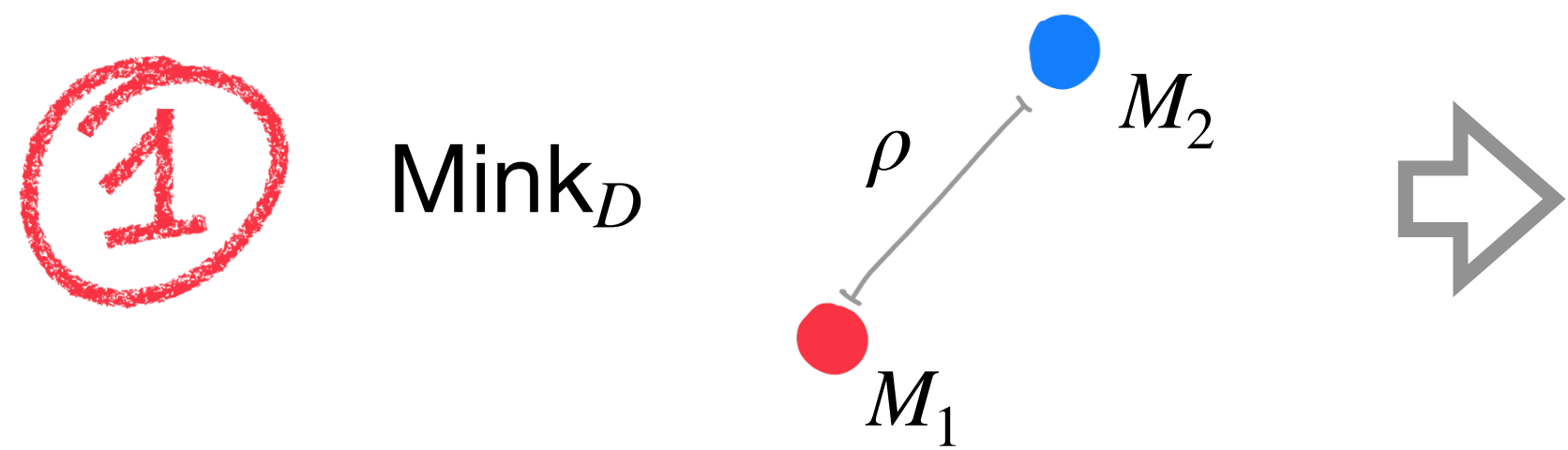


$$\Delta_f \psi_k = m_k^2 \psi_k \quad \int_X \sqrt{g} e^f \psi_k \psi_l = \text{Vol}_f \delta_{kl} \quad m_{Pl,4}^2 = m_{Pl,D}^{D-2} \text{Vol}_f$$

$$(\bar{\Delta}_3 - m_k^2) \bar{h}_{00}^k = \frac{M_1}{2} e^{2A(y_0)} \psi_k(y_0) \delta_3(x) \quad \bar{h}_{00}^k = -\frac{M_1}{8\pi} \frac{e^{-m_k r}}{r} e^{-A(y_0)} \psi_k(y_0)$$

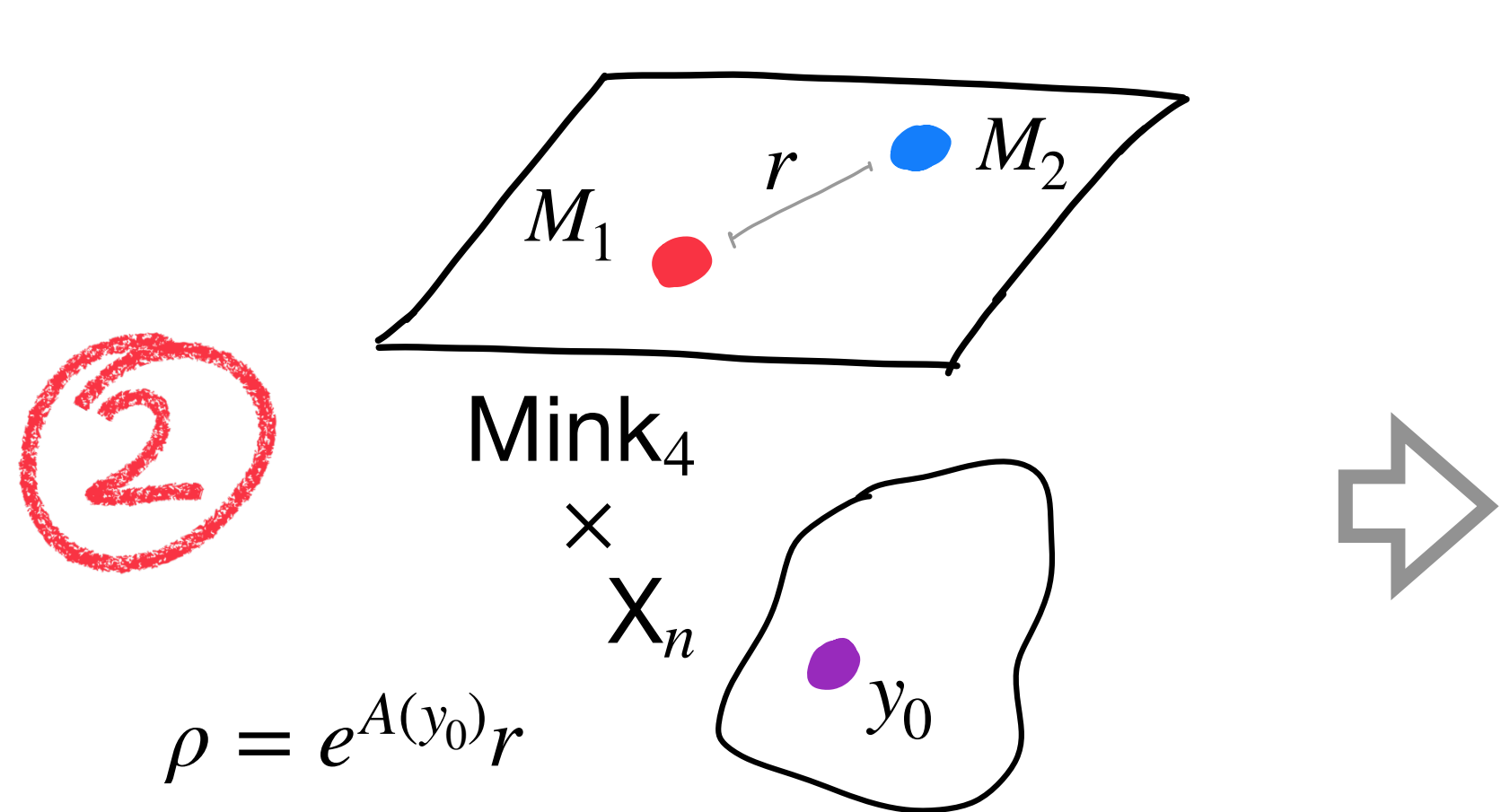
$$U_{4d}(r) = M_2 \left(1 - \frac{m_{Pl,4}^{-2}}{2} \sum_k e^{2A(y_0)} \bar{h}_{00}^k(r, y_0) \psi_k(y_0) \right)$$

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$$U_{4d}(\rho) \rightarrow U_D(\rho)$$

for $\rho \rightarrow 0$

$$e^{f(y_0)} \lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} \psi_k^2(y_0) e^{-m_k r} = \frac{m_{Pl,4}^2}{m_{Pl,D}^{D-2}} \frac{\Gamma\left(\frac{n+1}{2}\right)}{\pi^{\frac{n+1}{2}}}$$

- We can also obtain a mathematically rigorous proof of this formula by directly comparing the local behavior of Green's functions

Proof of the Weyl law: integrated argument

$$\boxed{e^{f(y_0)} \lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} \psi_k^2(y_0) e^{-m_k r} = \frac{m_{Pl,4}^2}{m_{Pl,D}^{D-2}} \frac{\Gamma(\frac{n+1}{2})}{\pi^{\frac{n+1}{2}}}} \xrightarrow{\int_X \sqrt{g_n}} \boxed{\lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} e^{-m_k r} = \frac{\Gamma(\frac{n+1}{2})}{\pi^{\frac{n+1}{2}}} \text{Vol}}$$

- The effect of the warping completely disappeared using

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- To proceed, we make the ansatz that at large k , $m_k^2 \sim \alpha^2 k^{2/\nu}$, and we determine α and ν from

- For $r \ll 1$ the sum can be well approximated by an integral

$$\varepsilon = (\alpha r)^\nu \quad \varepsilon \sum_{k=0}^{\infty} f(k\varepsilon) \sim \int_0^\infty dp f(p) \quad \sum_k e^{-m_k r} \underset{r \rightarrow 0}{\sim} \sum_k e^{-\alpha k^{1/\nu} r} \sim \frac{1}{(\alpha r)^\nu} \int_0^\infty dp e^{-p^{1/\nu}} = \frac{\Gamma(\nu + 1)}{(\alpha r)^\nu} \rightarrow \begin{cases} \nu = n \\ \alpha^2 = 4\pi\Gamma(1 + n/2)^{2/n} \text{Vol}^{-2/n} \end{cases}$$

- More rigorously, the result follows from Karamata theorem

Proof of the Weyl law: integrated argument

$$e^{f(y_0)} \lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} \psi_k^2(y_0) e^{-m_k r} = \frac{m_{Pl,4}^2}{m_{Pl,D}^{D-2}} \frac{\Gamma(\frac{n+1}{2})}{\pi^{\frac{n+1}{2}}} \xrightarrow{\int_X \sqrt{g_n}} \lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} e^{-m_k r} = \frac{\Gamma(\frac{n+1}{2})}{\pi^{\frac{n+1}{2}}} \text{Vol}$$

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- More rigorously, the result follows from Karamata theorem [Karamata '30]
- However, the exchange of limits is not delicate to justify in general, in particular in presence of singularities
- Using the RCD theory we can rigorously prove the Weyl law for solutions with D-brane singularities for which the spectrum is discrete (D6, D7, D8)

Quantum ergodicity

$$e^{f(y_0)} \lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} \psi_k^2(y_0) e^{-m_k r} = \frac{m_{Pl,4}^2}{m_{Pl,D}^{D-2}} \frac{\Gamma(\frac{n+1}{2})}{\pi^{\frac{n+1}{2}}}$$

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- Few results are known for eigenfunctions. But for $f = 0$, if the space is ergodic it is also Quantum Ergodic

- Ergodic:

- Probability of finding a classical particle in a region of phase space proportional to the volume of that region

$$\forall f \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T f(\gamma(t), \dot{\gamma}(t)) dt = \int_{S^*X} f \omega \implies$$

[Schinrelman, '74; de Verdière, '85; Zelditch, '87]

$$\lim_{\substack{k \rightarrow \infty \\ k \notin e}} \frac{\int_B \sqrt{g} \psi_k^2}{\int_X \sqrt{g} \psi_k^2} = \frac{\text{Vol}(B)}{\text{Vol}(X)}$$

- Quantum ergodic:

- Probability of finding a quantum particle in a region B proportional to the volume of that region

Quantum ergodicity

$$e^{f(y_0)} \lim_{r \rightarrow 0} r^n \sum_{k=0}^{\infty} \psi_k^2(y_0) e^{-m_k r} = \frac{m_{Pl,4}^2}{m_{Pl,D}^{D-2}} \frac{\Gamma(\frac{n+1}{2})}{\pi^{\frac{n+1}{2}}}$$

- A finite number of modes does not contribute to the lhs, it's the infinite tail at large k that gives a non-zero contribution to the limit. We need to estimate $\psi_k(y_0)^2$ for $k \gg 1$.

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- 'Most' spaces are ergodic, but not all, e.g. when there are symmetries

- Is there a similar notion for the eigenfunctions of the warped Laplacian ($f \neq 0$)?

- **Quantum ergodic:**

- Probability of finding a quantum particle in a region B proportional to the volume of that region

Weighted quantum ergodicity?

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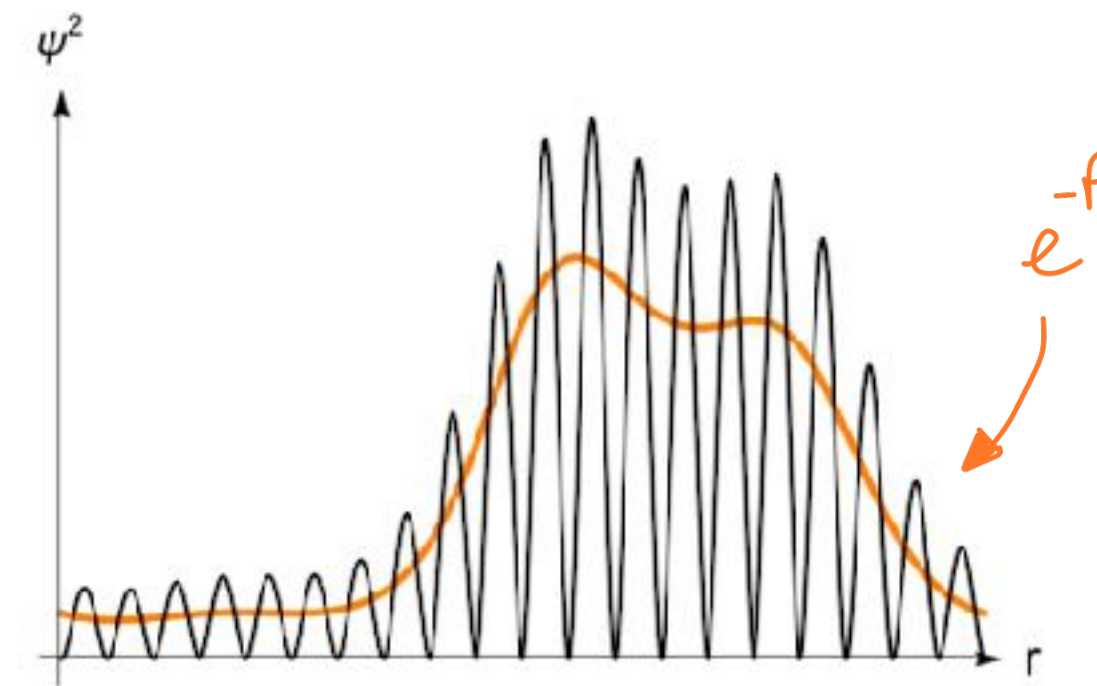
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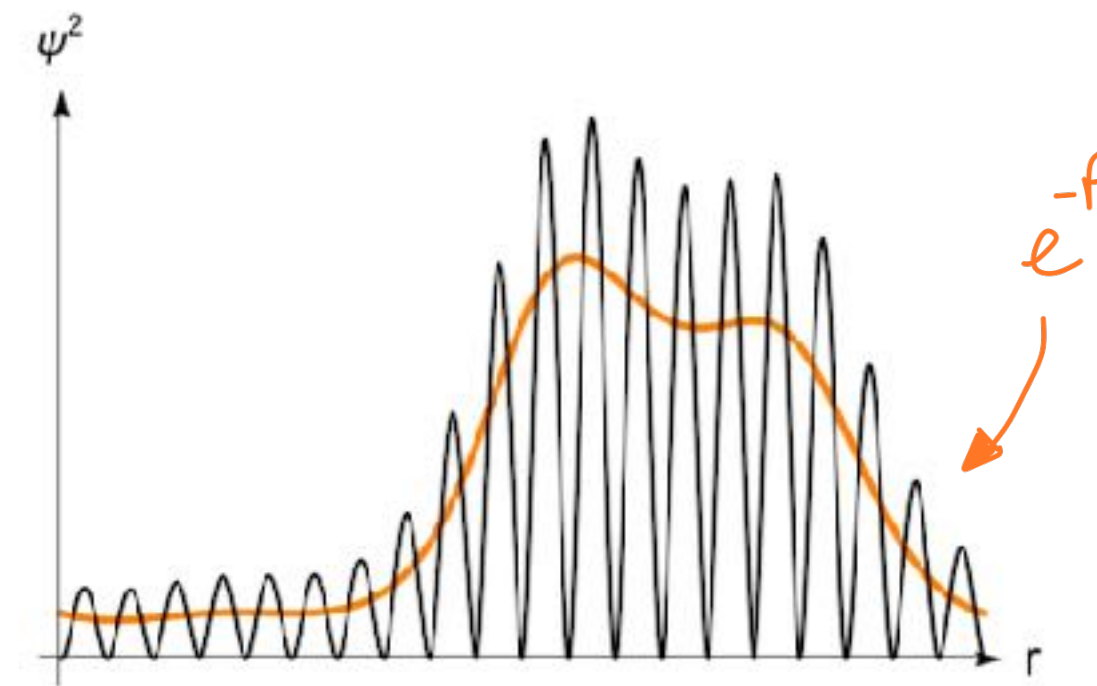
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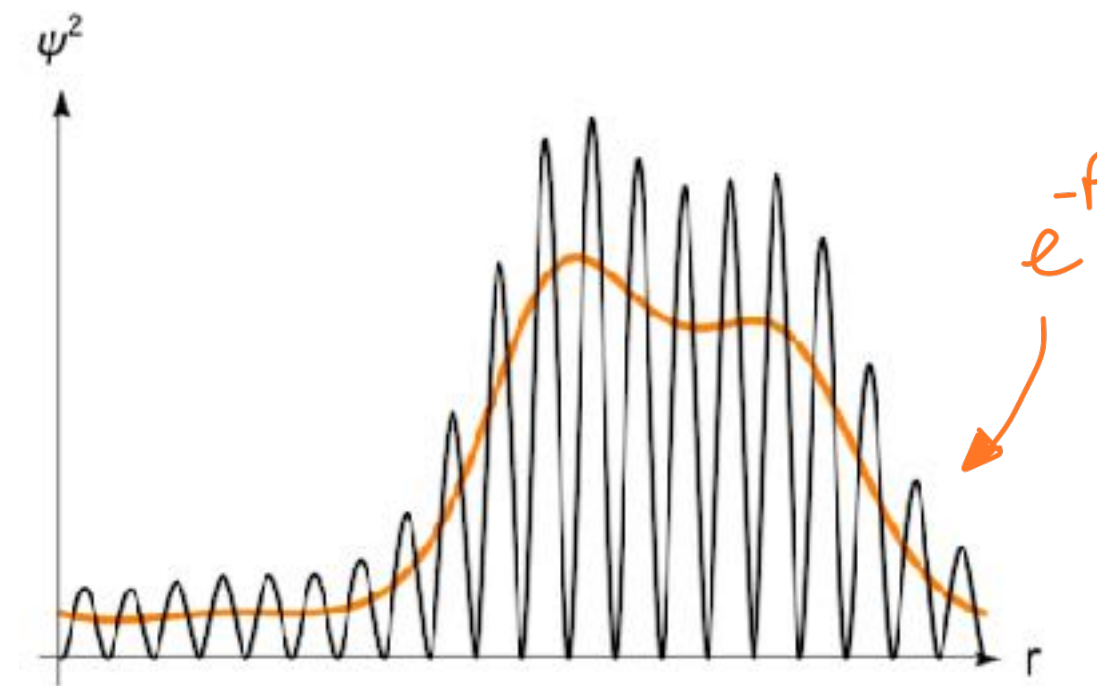
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- This is now a conjecture: it is not known to follow from a classical counterpart
 - If f is smooth it can be argued for it starting by mapping to a Schrödinger problem and using QE
 - But for physical sources f is not smooth

Recap and future directions

- The spin 2 Kaluza-Klein spectrum can be studied in general, [without specifying the background](#)
- By controlling the *effective* curvature is possible to prove [universal bounds](#) on masses of spin 2 KK fields by using theorems in Optimal Transport Theory
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- Can be proven from purely gravitational methods!
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[Hinchliffe's Rule]

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- Can we apply similar techniques to other spins?
 - What are the corresponding operators? What controls their spectrum?

Thank
You!

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Some classical geometrical results

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- With a positive lower bound on the Ricci tensor:

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- Classical theorems of this kind are not directly applicable to KK

- In general $f \neq 0$, so theorems on standard Laplacian are not directly useful
- The equations of motion do not constrain the Ricci tensor enough

Bounding the curvature

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[GBDL, De Ponti, Mondino, Tomasiello, 2212.02511]

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Raychaudhuri: ξ tangent to geodesics

expansion $\theta \equiv \nabla_m \xi^m$

$$-\nabla_\xi \theta \geq R_{mn} \xi^m \xi^n + \frac{1}{n} \theta^2$$

$f \neq 0$

weighted expansion $\theta_f \equiv e^{-f} \nabla_m (e^f \xi^m)$

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weighted expansion

- This notion of curvature is studied in Bakry-Emery geometry, and Optimal Transport theory

- It also admits a rigorous definition for non-smooth metric spaces, in terms of concavity of entropy, allowing to rigorously write the supergravity equations for singular spaces. ‘Synthetic curvature’

[GBDL, De Ponti, Mondino, Tomasiello, 2212.02511 & WIP]

The Reduced Energy Condition

- The equations of motion for general vacuum compactifications imply

$$\text{Ric}_{mn}^{2-d,f} = \Lambda g_{mn} + \tilde{T}_{mn}$$

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For fluxes, scalar fields, scalar potentials,
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[GBDL,
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$$\text{Ric}_{mn}^{\infty,f} \geq - \left(|\Lambda| + \frac{\sigma^2}{D-2} \right) \longrightarrow$$

$$N = \infty :$$

Weaker physical consequences: it requires to control ∇f independently. Mathematical theory more mature, more results available

$$\sigma \equiv |\sup \nabla f|$$

Some eigenvalue bounds for $N < 0$

[GBDL, De Ponti, Mondino, Tomasiello, '22]

- If $m_0 = 0$

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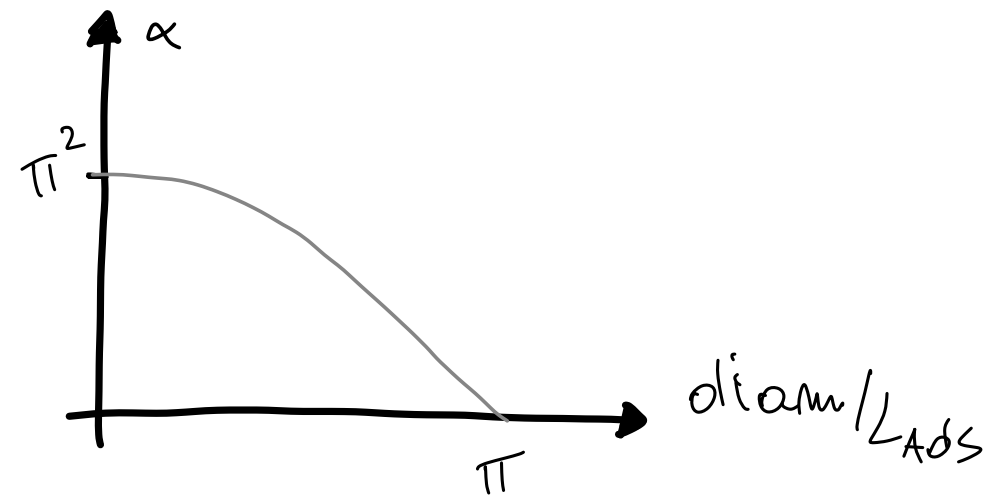
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Separation of scales achieved if
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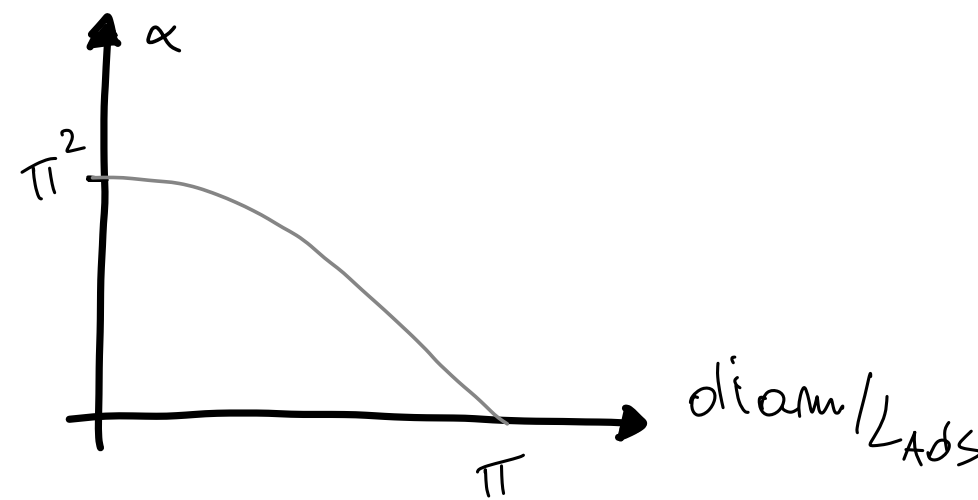
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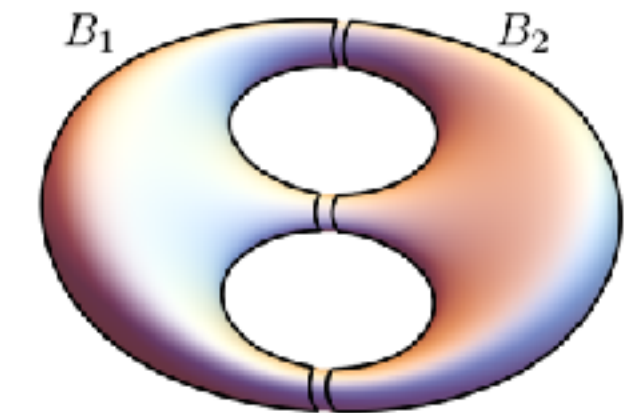
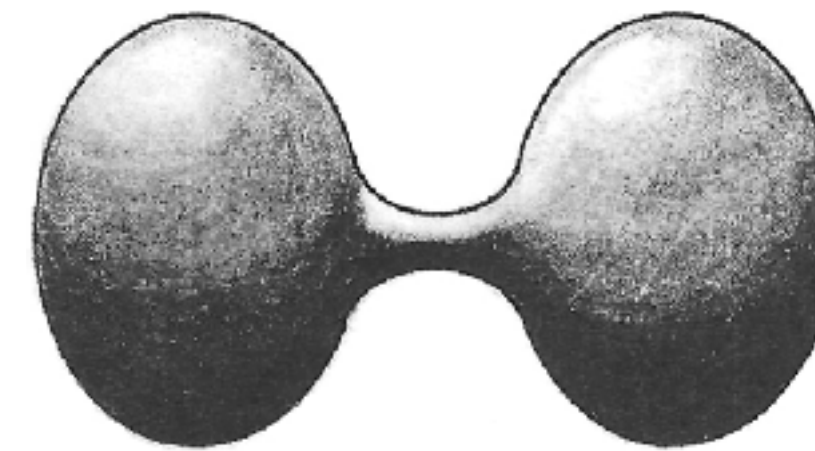
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Define a generalization of Cheeger constants:

[Generalization of Cheeger '69, Buser '82]

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Example small h_1 :
($f = 0$)

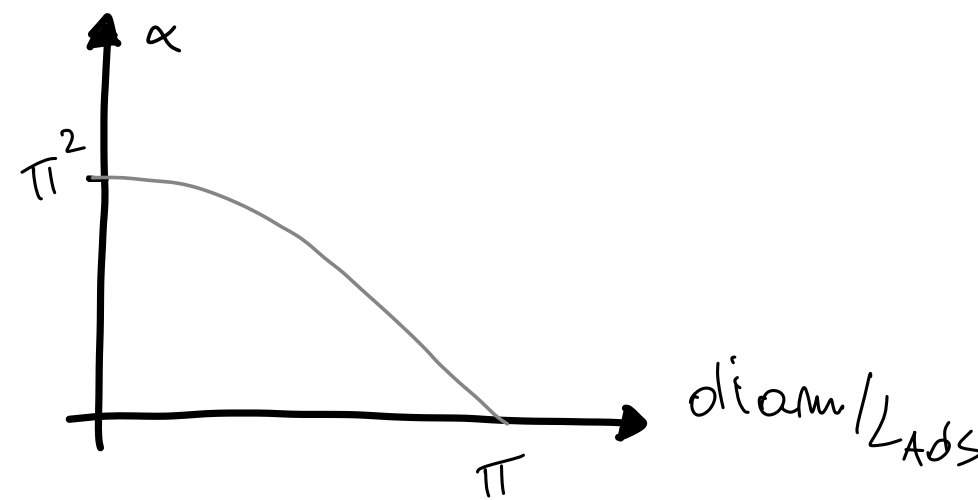


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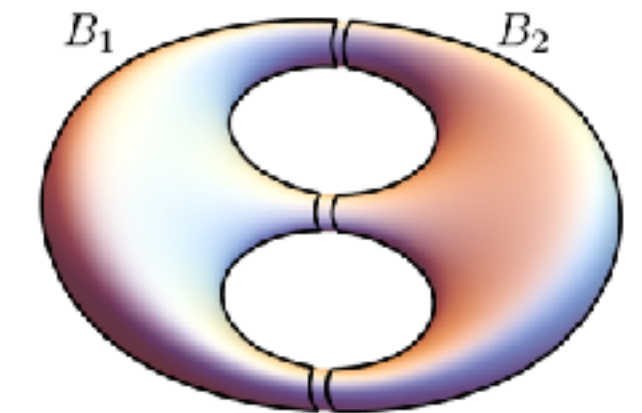
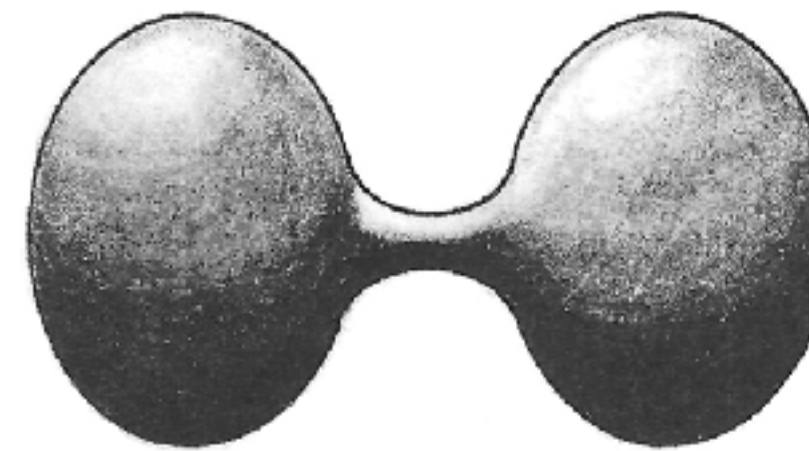
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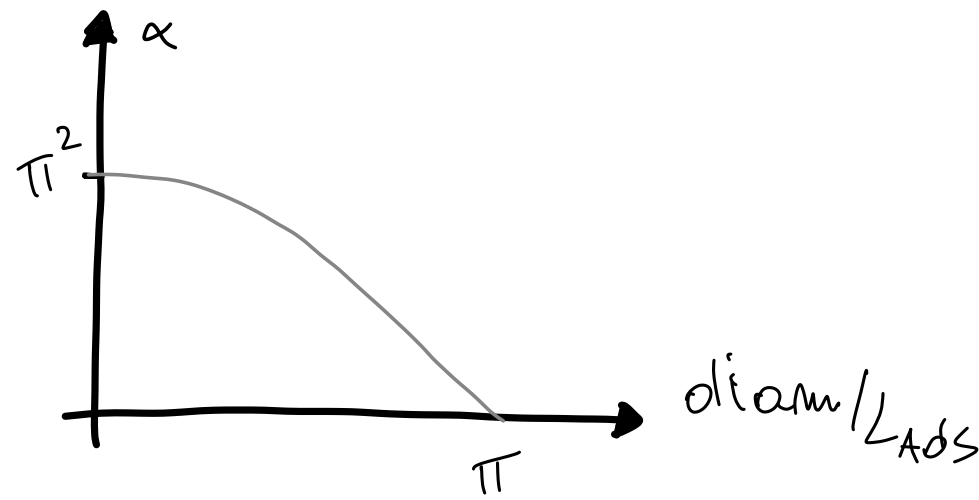
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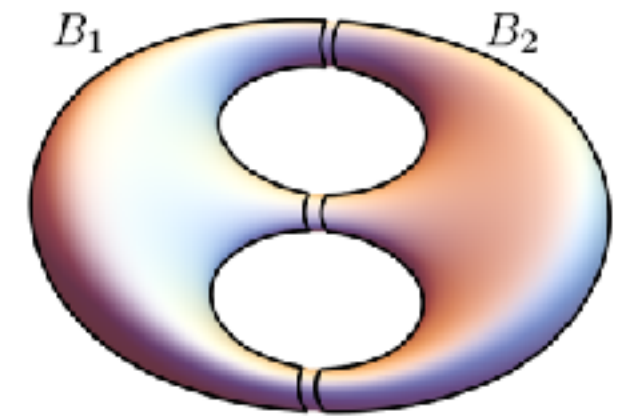
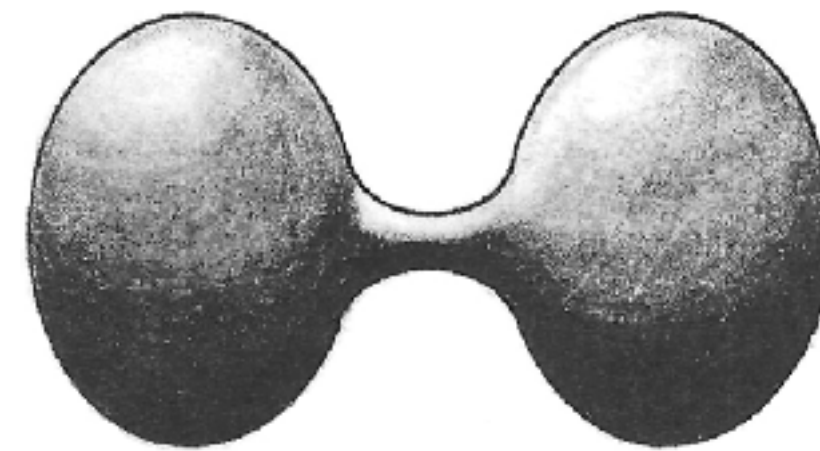
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Rigorous even in presence of O-planes

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Can be used to check sep. of scale in explicit proposed examples. e.g. in

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[DeWolfe, Giryavets, Kachru, Taylor, '05
Acharya, Benini, Valandro '06,
Junghans '20, Marchesano, Palti, Quirant,
Tomasiello '20]

$$h_1^2 \sim N^{-1/2}, |\Lambda| \sim N^{-3/2}$$

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- Valid for smooth spaces with finite diameter:

[GBDL, Tomasiello '21 using
Hassannezhad, '12, Setti, '98,
Charalambous, Lu, Rowlett '15]

Th. 4:

$$m_k^2 \leq n \left(|\Lambda| + \frac{D-1}{D-2} \sigma^2 \right) + \gamma(n) \frac{k^2}{\text{diam}^2}$$

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$$m_1^2 \geq \frac{\pi^2}{\text{diam}^2} \exp \left(-c(n) \text{diam} \sqrt{|\Lambda| + \frac{\sigma^2}{D-2}} \right)$$

Some eigenvalue bounds for $N = \infty$

$$\text{Ric}_{mn}^{\infty, f} \geq - \left(|\Lambda| + \frac{\sigma^2}{D-2} \right)$$

$\sigma \equiv |\sup \nabla f|$

- Valid for smooth spaces with finite diameter:

[GBDL, Tomasiello '21 using
Hassannezhad, '12, Setti, '98,
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[GBDL, De Ponti, Mondino, Tomasiello, '21, using
De Ponti, Mondino '19]

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$$m_1^2 \leq \max \left\{ \frac{21}{10} h_1 \sqrt{\Lambda + \frac{\sigma^2}{D-2}}, \frac{22}{5} h_1^2 \right\}$$

Th. 7:

$$m_k^2 < k^2 \max \left\{ \frac{14112}{25} \left(\Lambda + \frac{\sigma^2}{D-2} \right), \frac{2816}{5} m_1^2 \right\}$$

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- In tension with the spin 2 swampland conjecture in the limit $h_1 \rightarrow 0$, h_2, σ fixed

[Klawer, Lust, Palti '18]

[Bachas '19]

$R_n = 0 + \text{Casimir} \rightarrow \Lambda_4 < 0$

[GBDL, De Ponti, Mondino, Tomasiello, '22]

- With a compact internal space, **Casimir energy** density can be **automatically generated**
- If the space has **small circles**, with antiperiodic BCs for fermions, Casimir energies are of the form

$$T_{ij} \sim R_c(y)^{-D} g_{ij} \quad T_{ab} \sim -\frac{D-k}{k} R_c(y)^{-D} g_{ab}$$

other directions circle directions small circle size

[Arkani-Hamed, Dubovsky, Nicolis, Villadoro '07]

[cf. Maldacena, Milekhin, Popov '18]

- Then solve the **semi-classical equations**:

$$-\frac{2}{\sqrt{-g_D}} \frac{S_D^{(\text{class.})}}{\delta g_{MN}^D} = \langle T_{MN}^{(\text{Cas.})} \rangle$$

- Explicitly in **M-theory** on $\text{AdS}_4 \times T^7$:

$$ds_{11}^2 = L_4^2 ds_{\text{AdS}_4}^2 + R_c^2 ds_{T^7}^2$$

$$T_{\mu\nu}^{\text{Cas}} = |\rho_c| \ell_{11}^9 R_c^{-11} g_{\mu\nu} \quad T_{ij}^{\text{Cas}} = -\frac{4}{7} |\rho_c| \ell_{11}^9 R_c^{-11} g_{ij}$$

$$F_7 = f_7 \text{vol}_{T^7}$$

$$\frac{1}{\ell_{11}^6} \int F_7 = N_7$$

$$\Rightarrow \frac{L_4^2}{R_c^2} = \frac{2401}{4608} \frac{N_7^6}{\rho_c^4} \gg 1$$

$$\frac{R_c^{11}}{\ell_{11}^{11}} \sim N_7^{22/3} \gg 1$$

QG effects under control

parametric separation of scales!

- Non-susy and unstable for M2 bubble nucleation
- Compatible with AdS distance conjecture, $m_{KK}^2 \sim |\Lambda|^{1/d}$
- [Also non stable dS possible in this way but not under parametric control]

[Lust, Palti, Vafa, '19
Gonzalo, Ibáñez, Valenzuela, '21]

