



# Decoding Dualities at Divergent Distances

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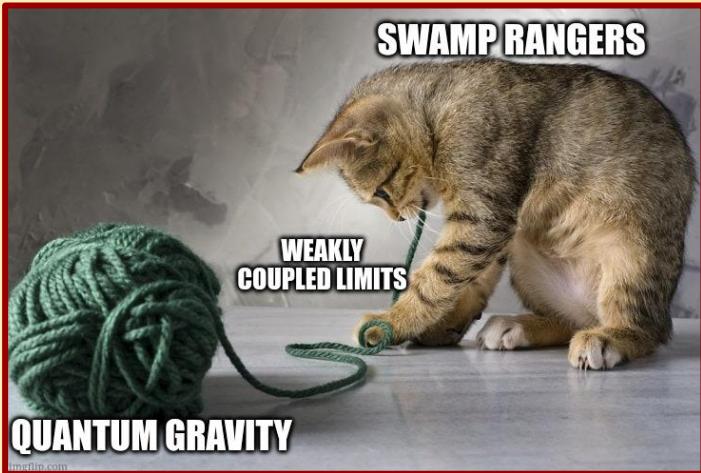
# Is quantum gravity stringy?

at weak coupling, *it looks like it...*

- S-matrix bootstrap results
- black holes & holography
- *unexpected* appearances

**“emergent string conjecture”**

(Lee, Lerche, Weigand, 2019)



**this talk:** collect old & new insights



# Weakly coupled limits in quantum gravity

$$\mathcal{L}_{\text{eff}} = M_{\text{Pl}}^{d-2} \left( R + \frac{\mathcal{R}^2}{\Lambda_{\text{UV}}^2} + \dots \right) + \sum_k m_{\text{gap}}^{d-2k} \mathcal{R}^{2k}$$

*genuine QG effects*

*field theory d.o.f. to “integrate in”*

(Castellano, Herráez, Ibáñez, 2023)

- ❖ weak “quantum coupling(s)” of gravitons  $\Lambda_{\text{UV}} \ll M_{\text{Pl}}$
- ❖ infinite-distance limits in theory space **factorize**
  - gravity must “decouple” by equivalence principle
  - **tower of new species** (seems) required (**must it be light?**)

(Stout, 2021-2022)

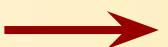


# What are the endangered light species?

- ❖ **assume** light tower (later: **converse** worldsheet argument)
  - obvious option: KK tower → still EFT framework  
*T-duality & obstructions (Demulder, Lüst, Raml, 2023)*
  - **string universality** → only alternative strings modes!  
**unique & critical** (Lee, Lerche, Weigand, 2019)
    - extremely **non-trivial** (dualities & obstructions)  
(Kläwer, Lee, Weigand, Wiesner, 2020)

$$m_{\text{KK}} \ll \Lambda_{\text{UV}}^{\text{KK}}$$

$$m_{\text{string}} = \Lambda_{\text{UV}}^{\text{string}}$$



**in general:** two classes of species



# Fun with scales

- ❖ UV cutoff: **generic suppression in (gravitational sector of) EFT**
  - “integrate in” KK modes [if present]
  - scale of smallest black hole (Dvali, 2007) (Cribiori, Lüst, Staudt, 2022) (Bedroya, Mishra, Wiesner, 2024)
- ❖ QG scale: strongly coupled gravity, e.g.  $\Lambda_{\text{QG}}^{\text{string}} \approx M_s \sqrt{\log g_s^{-2}}$   
(Gross, Mende, Ooguri, 1987-1990) (Bedroya, 2022)
  - for KK: higher-dim. Planck scale [even w/ curvature (Aoufia, IB, Leone, 2024)]
- ❖ “**state counting**” scale: worst-case scenario  $\Lambda_{\text{st}} \sim M_{\text{Pl}} N_{\text{sp}} (\Lambda_{\text{st}})^{-\frac{1}{d-2}}$   
(Veneziano, 2001) (Dvali, 2007)

**parametric hierarchy**

$$m_{\text{tower}} \lesssim \Lambda_{\text{UV}} \lesssim \Lambda_{\text{QG}} \lesssim \Lambda_{\text{st}} \lesssim M_{\text{Pl}}$$



# Minimal black holes & species

(IB, Cribiori, Lüst, Montella, 2023-2024) (Alvaro's talk)

- ❖ **basic idea:** light tower  $\Leftrightarrow$  minimal black hole (Dvali, Gomez, Lüst, Isermann, Stieberger, 2009-2014)

- spectrum w/o intermediate gaps  $m_n = m f(n)$  ,  $m_N \sim \Lambda_{\text{st}}$
- “typical” species energy  $E_{\text{sp}} = \sum_{\text{level } n} d_n m_n$  ↑  
max level
- thermodynamics **must** match (energy, entropy, charges...)

$$S_{\text{sp}} \sim N_{\text{sp}} + \sum_{n \leq N} d_n \log \frac{f(N)}{f(n)} - \frac{1}{2} \log(N_{\text{sp}} f(N)^2)$$



# A familiar example

KK towers [reparametrized to “constant degeneracy”] (Castellano, Herráez, Ibáñez, 2022)

➤ if limit is an EFT, towers gapped below cutoff must be KK (Bedroya, Mishra, Wiesner, 2024)

$$d_n \sim 1$$

thermodynamic consistency

$$m_n \sim m n^{\frac{1}{p}}$$

$$E_{\text{sp}} = \frac{p}{p+1} \Lambda_{\text{st}}^{3-d} + \mathcal{O}(\Lambda_{\text{st}}) \quad \checkmark$$

$$S_{\text{sp}} = \frac{p+1}{p} N_{\text{sp}} + \mathcal{O}(\log N_{\text{sp}}) \quad \checkmark$$

$$\Lambda_{\text{UV}} = \Lambda_{\text{st}} = \Lambda_{\text{QG}} = m^{\frac{p}{p+d-2}} \quad \checkmark$$

**name of the game:** repeat for more general towers



# The good, the bad and the stringy

- ❖ **punchline:** not any tower goes! up to reparametrizations, we found

- only “KK-like” towers work  $d(m) \sim m^{p-1}$  “effective” dims  $p \geq 1$
- some **don’t work**  $d(m) \sim (\log m)^\alpha, \log \log m$
- the rest “smells stringy”, e.g.  $\Lambda_{\text{st}} \sim m \log m^{-1}$   
*may gap @ cutoff* → **higher-spins** (Camanho, Edelstein, Maldacena, Zhiboedov, 2014)

| Mass\Degeneracy | Log | Power | Exp | Constant |
|-----------------|-----|-------|-----|----------|
| Log             | *   |       |     | *        |
| Power           | *   |       |     |          |
| Exp             |     |       |     |          |



# Living in the (S-)matrix

- ❖ for “stringy” towers, BH argument formally works for  $p \rightarrow \infty$ 
  - mild logarithmic deviations from BH matching indicate cutoff = gap  $\ll \Lambda_{\text{st}}$
  - power-like DoS should degenerate into **exponential** (Bedroya, Mishra, Wiesner, 2024)
- ❖ highly constraining bootstrap results!

$$\mathcal{A}_{\text{grav}} = \frac{W(s, t, u)}{stu} \prod_{n \geq 1} \frac{1 + A_n(st + tu + us) + B_n stu}{(1 - s/\lambda_n)(1 - t/\lambda_n)(1 - u/\lambda_n)}$$

string spectrum **fixed** ✓  
**no  $q$ -deformation à la Coon** ✓  
**Virasoro-Shapiro dynamics\*** ✓  
similar **rigidity** for gauge sector ✓

(Camanho, Edelstein, Maldacena, Zhiboedov, 2014)  
(Caron-Huot, Komargodski, Sever, Zhiboedov, 2016)  
(Caron-Huot, Li, Parra-Martinez, Simmons-Duffin, 2022)  
(Geiser, Lindwasser, 2022) (Cheung, Remmen, 2022-2023)  
(Arkani-Hamed, Cheung, Figueiredo, Remmen, 2023)  
(Häring, Zhiboedov, 2023) (...)



# The bottom-up story — recap

- ❖ *information-theoretic **factorization** links distances and towers* (Stout, 2021-2022)
- ❖ *test w/ “minimal black hole  $\Leftrightarrow$  light species” correspondence*
- ❖ **upshot:** if tower is light,
  - gapped below cutoff  $\longrightarrow$  KK-like +  $p \rightarrow \infty$  limit
  - gapped at cutoff  $\longrightarrow$  exponential DoS + higher-spins + rigid S-matrix  
(Bedroya, Mishra, Wiesner, 2024) (Alvaro's talk)

**leftover questions:**

- must **light** towers exist?
- are these **actually** KK?



**worldsheet approach**

(Aoufia, IB, Leone, 2024)



# Strings and (non-)geometry

- ❖ string perturbation theory can probe both questions

- tool: **modular invariance** (here: RNS-RNS)
- quantity: 1-loop  **$\mathbf{R}^4$  Wilson coefficient** from 2-to-2 graviton scattering  
 (Green, Schwarz, Brink, 1982) (Kiritsis, Pioline, 1997) (Green, Gutperle, Vanhove, 1997) (Obers, Pioline, 1999)  
 (Green, Vanhove, 1999) (Green, Russo, Vanhove, 2008) (Green, Russo, Vanhove, 2010) (Angelantonj, Florakis, Pioline, 2012)  
 (Blumenhagen, Cribiori, Gligovic, Paraskevopoulou, 2024) (Bedroya, van de Heisteeg, Vafa, Wiesner, Wu, 2022-2024)

“reduced”/primary partition function  
 (Afkhami-Jeddi, Cohn, Hartman, Tajdini, 2021)

$$\alpha_{R^4} = \left( \frac{\mathcal{Z}_{S^2}}{g_s^2} \right)^{\frac{8-d}{d-2}} \left( \text{tree-level } 2\zeta(3) \frac{\mathcal{Z}_{S^2}}{g_s^2} + 2\pi \int_{\mathcal{F}} d\mu \mathcal{Z}_{T^2}^{\text{reg}} \right)$$

emergent string limit      decompactification

$$\alpha_{R^4} \stackrel{g_s \ll 1}{\sim} \left( \frac{M_{\text{Pl}}}{M_s} \right)^6$$

$$\int_{\mathcal{F}} d\mu \mathcal{Z}_{T^2}^{\text{reg}} \sim \left( \frac{m_{\text{gap}}}{M_s} \right)^{-p}$$



# Modular invariance to the rescue

❖ **can prove** (Aoufia, IB, Leone, 2024)

- Wilson coefficient *diverges*  $\Leftrightarrow \exists$  *light tower*
- scaling w/ spectral gap is **geometric** (“emergent geometry”)

*exp. decay  
distance diverges*  
(Ooguri, Wang, 2024)

$$\int_{\mathcal{F}} d\mu \mathcal{Z}_{T^2}^{\text{reg}}(t) \stackrel{t \gg 1}{\sim} \Delta_{\text{gap}}(t)^{-\frac{c_{\text{int}}}{2}}$$

**bonus:** limiting CFT contains  $\mathbb{R}^N$  sigma model ✓ (Ooguri, Wang, 2024)



# One more recap for the road

- ❖ *information-theoretic **factorization** links distances and towers*
- ❖ *if light:*
  - *gapped below cutoff*  $\longrightarrow$  *KK-like +  $p \rightarrow \infty$  limit*
  - *gapped at cutoff*  $\longrightarrow$  *exponential DoS + higher-spin + rigid S-matrix*
- ❖ *worldsheet allows **converse**:*
  - *small cutoff  $\Leftrightarrow$  (exponentially) **light tower** + **emergent geometry***



# Outlook



- ❖ “bootstrapping” strings from many directions
- ❖ coherent emerging picture **from the bottom up**
  - light species & information theory
  - (minimal) black holes & thermodynamics
- ❖ **emergence of geometry** & clarifications from top-down



# Geometric decompactifications — EFT estimates

- ❖ compactify on n-dim. manifold X
  - heat kernel  $K_X(t)$  determines one-loop contribution

$$S_{\text{1-loop}} \sim -\frac{1}{2(4\pi)^{\frac{d}{2}}} \int_{\Lambda_{\text{st}}^{-2}}^{\infty} \frac{dt}{t^{1+\frac{d}{2}}} K_X(t) \sum_{k \geq 0} a_{2k}(\mathcal{R}) t^k$$

curvature ops.

- “relevant” vs. “irrelevant” ops.  
(IB, Lüst, Montella, 2023) (Aoufia, IB, Leone, 2024)

$$m_{\text{gap}}^{d-2k} \int_{\frac{m_{\text{gap}}^2}{\Lambda_{\text{st}}^2}}^{\infty} \frac{ds}{s} s^{k-\frac{d+n}{2}} \sim$$

$\begin{cases} \Lambda_{\text{st}}^{2-2k} & 2k < d+n \\ m_{\text{gap}}^{d-2k} & 2k > d+n \end{cases}$

Planck scale appears  
(QG effect)

no Planck scale  
(field theory effect)

(Castellano, Herráez, Ibáñez, 2023)  
(Bedroya, Mishra, Wiesner, 2024)



# Some gory details — existence of light tower

❖ assume  $N$  states go below some threshold weight  $\Delta_{\text{th}}$

➤ bound modular integral with strip integral [ $\tau = x+iy$ ]

$$|\widetilde{\mathcal{Z}_{T^2}}| \leq y^{\frac{c}{2}} \sum_{j, \Delta > 0} e^{-2\pi\Delta y} + |E_{\frac{c}{2}} - y^{\frac{c}{2}}|$$

➤ split sum into  $Z_{\text{below}} + Z_{\text{above}}$  with  $Z_{\text{below}} \leq N$  → *modular invariance*

$$Z_{\text{above}} \stackrel{y > 1}{\leq} \frac{e^{2\pi(\frac{1}{y}-y)\Delta_{\text{th}}}}{1 - e^{2\pi(\frac{1}{y}-y)\Delta_{\text{th}}}} Z_{\text{below}} \quad Z_{\text{above}} \stackrel{y < 1}{\leq} \frac{1}{1 - e^{2\pi(y-\frac{1}{y})\Delta_{\text{th}}}} Z_{\text{below}}$$



***finite  $N \Rightarrow$  finite Wilson coeff.***



# More gory details — modular differential equation

- ❖ assume weights are **light**  $\Delta = \Delta_0 f(t) \sim \Delta_0/t$  or **heavy**  $\Delta \gg 1$ 
  - *asymptotic differential equation (akin to Narain lattice sum)*

$$(-t^2 \partial_t^2 - (2 - c)t \partial_t) \mathcal{Z}_{T^2} \stackrel{t \gg 1}{\sim} \left( \Delta_\tau - \frac{c}{2} \left( 1 - \frac{c}{2} \right) \right) \mathcal{Z}_{T^2}$$

- ❖ regulate & integrate over fundamental domain

(Rankin, 1939) (Selberg, 1940) (Zagier, 1982) (Angelantonj, Florakis, Pioline, 2011) (Angelantonj, Cardella, Elitzur, Rabinovici, 2011)

- **geometric scaling** of integral

$$I(t) \stackrel{t \gg 1}{\sim} t^{\frac{c}{2}} \sim \Delta_{\text{gap}}^{-\frac{c}{2}}$$



# Last one, I promise — factorized CFT limits

- ❖ relax spectrum to factorization  $Z(t) = A(t)B$  (“partial decompactification”)
  - **harmonic decomposition** w.r.t. fundamental domain

(Benjamin, Collier, Fitzpatrick, Maloney, Perlmutter, 2021)

$$\tilde{A} = \frac{3}{\pi} I_A(t) + \sum_{n>0} a_n(t) \nu_n + \int_{\text{Re}(s)=\frac{1}{2}} ds \alpha_s E_s$$

*preceding result*                   *Maass cusp forms*                   *real analytic Eisenstein series*

$$I_{AB}(t) \stackrel{t \gg 1}{\sim} a t^{\frac{c_A}{2}} + b t^{\frac{c_A+c_B-2}{2}}$$

*QG geometric scaling*                   *field theory gap contribution*

**bonus:** *log threshold terms* [when expected] ✓

