

The Quantum Approximate Optimization Algorithm and the Sherrington-Kirkpatrick Model at Infinite Size

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with Edward Farhi, Jeffrey Goldstone, and Sam Gutmann

QIP – Feb 1, 2021

Combinatorial Optimization Problems

Cost
function

$$C(z) = \sum_{\alpha} C_{\alpha}(z)$$

$$z = (z_1, \dots, z_n) \in \{\pm 1\}^n$$

Want z^* so $C(z^*)$ is maximized

Combinatorial Optimization Problems

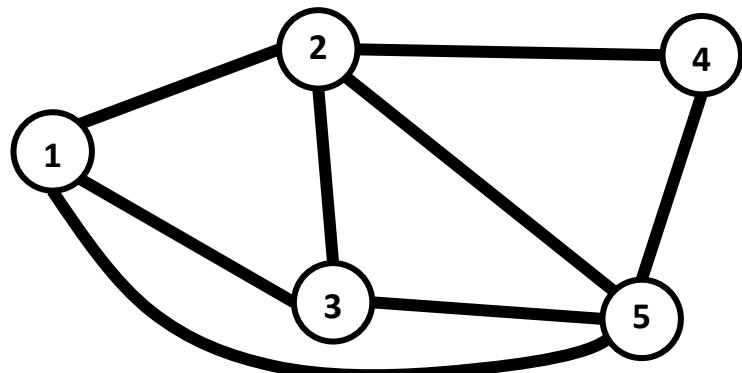
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MaxCut



Goal: find a **bipartition** of vertices that cut the maximum # edges

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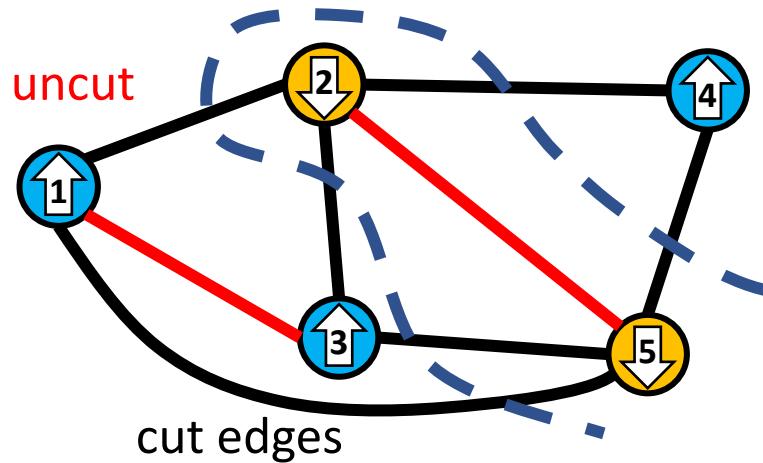
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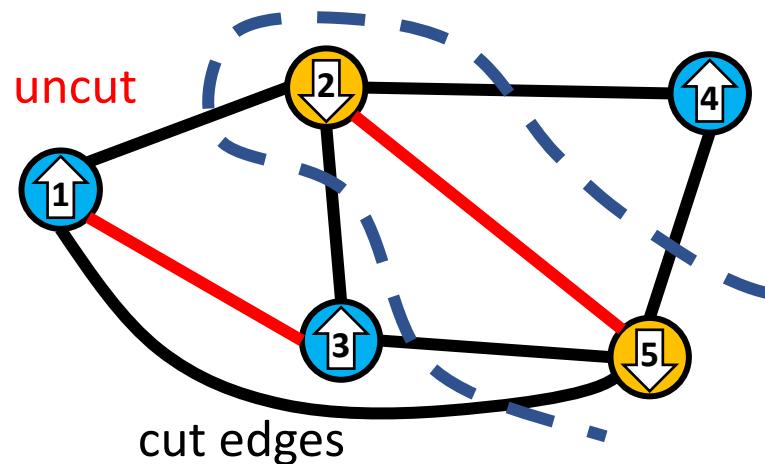
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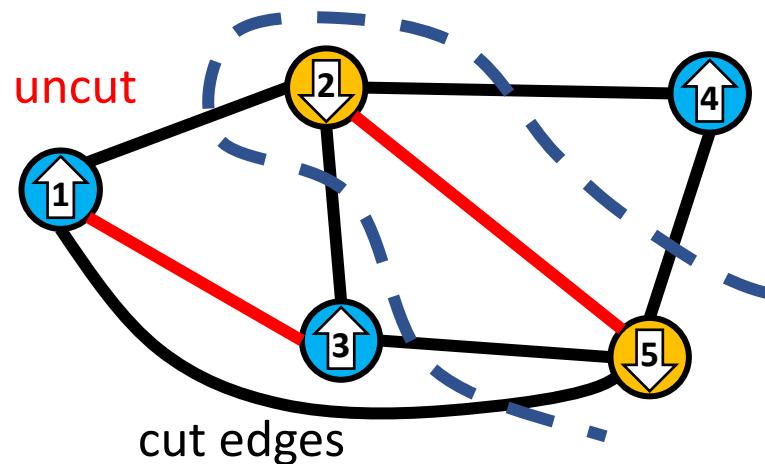
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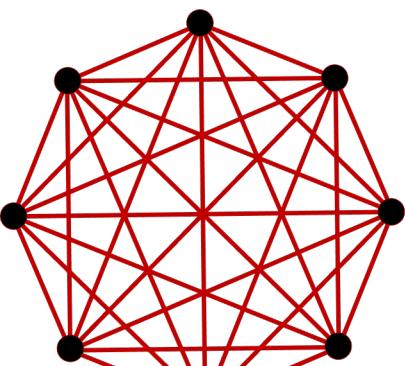
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Sherrington-Kirkpatrick (SK) model



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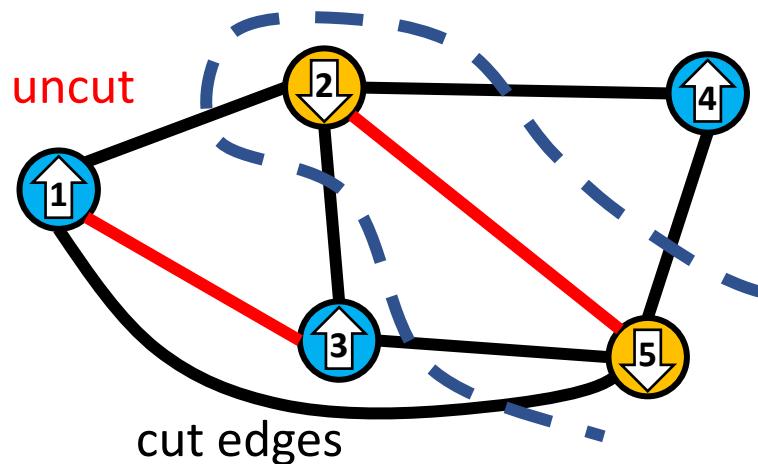
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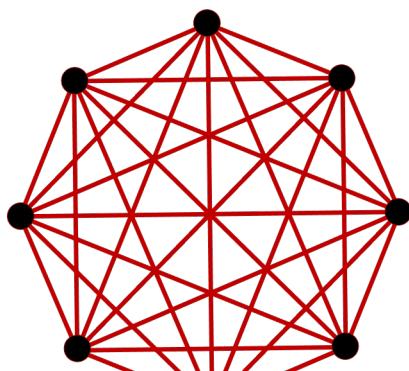
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$$C = \frac{1}{\sqrt{n}} \sum_{i < j} J_{ij} z_i z_j$$

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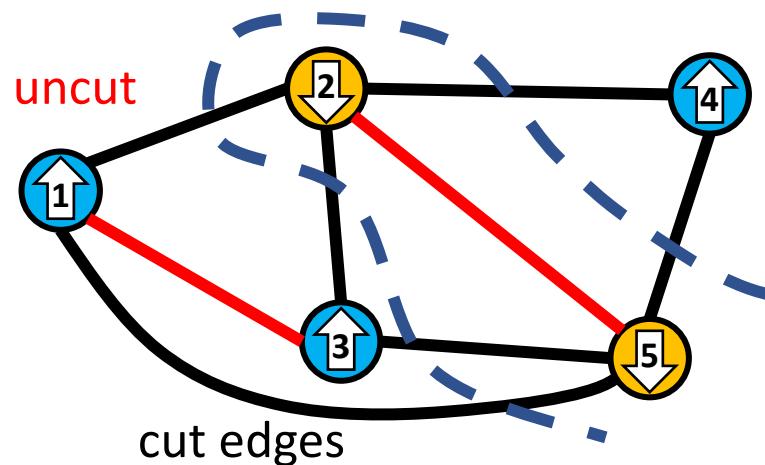
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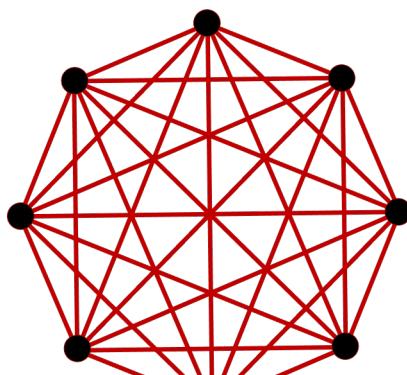
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MaxCut on Erdős-Rényi graphs = SK
(average case)

Quantum Approximate Optimization Algorithm (QAOA)

[Farhi Goldstone Gutmann 2014]

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$|+\rangle$

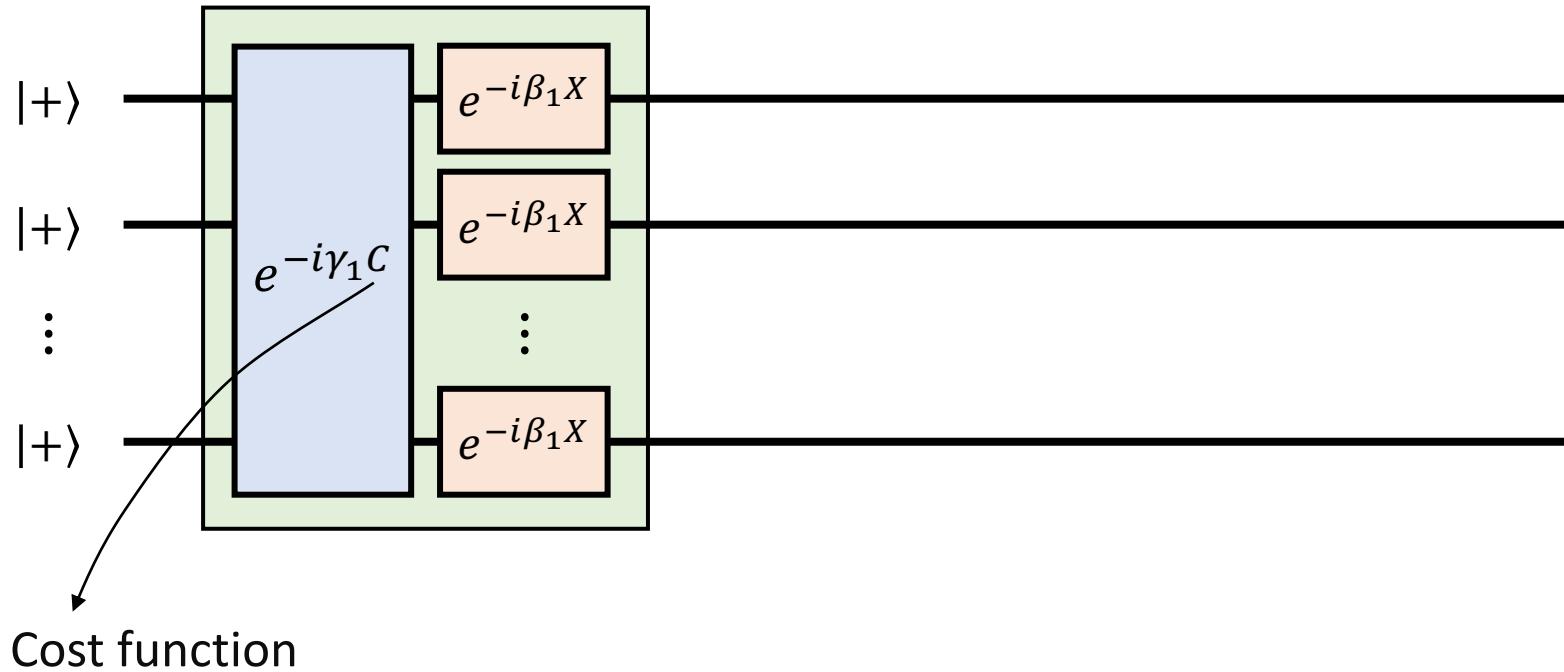
$|+\rangle$

\vdots

$|+\rangle$

$|+\rangle^{\otimes n}$

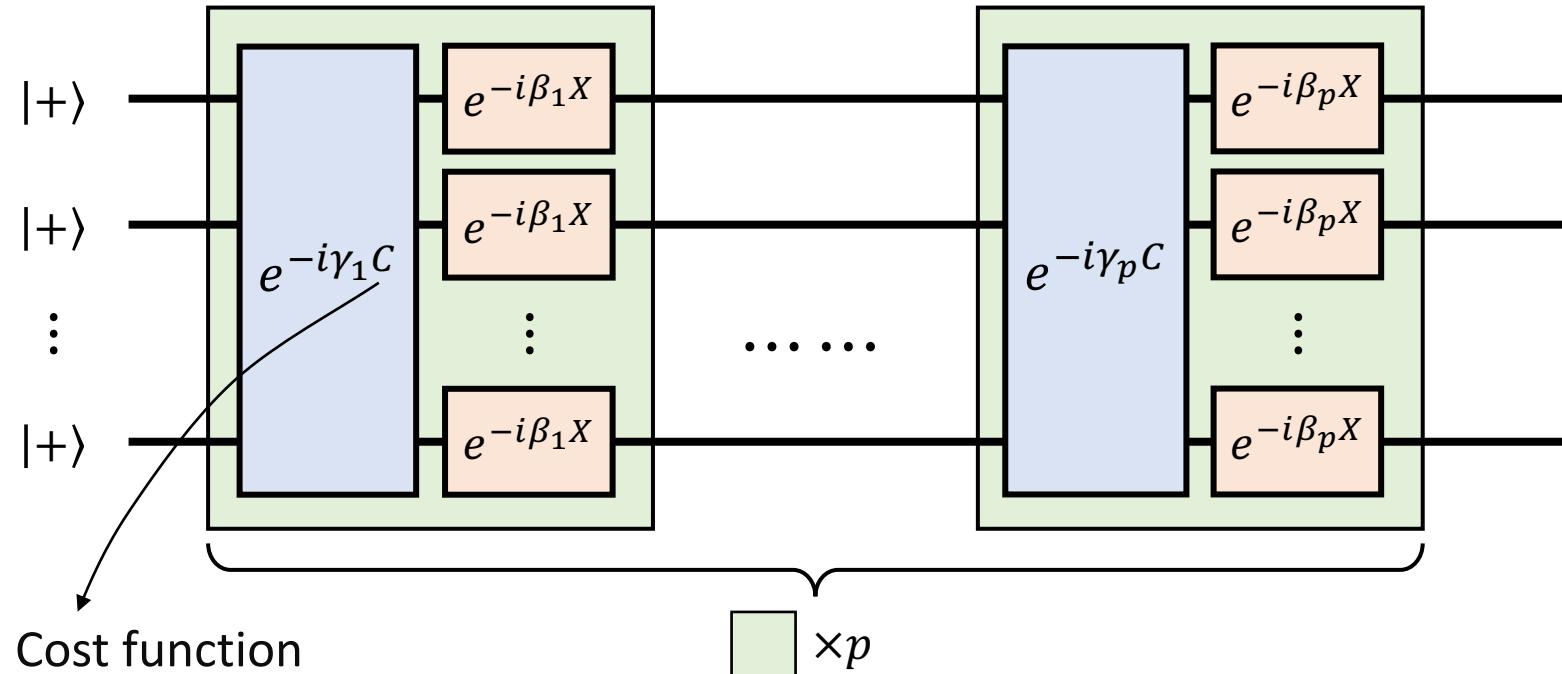
Quantum Approximate Optimization Algorithm (QAOA)



$$e^{-i\beta_1 B} e^{-i\gamma_1 C} |+\rangle^{\otimes n}$$

$$B = \sum_{i=1}^n X_i$$

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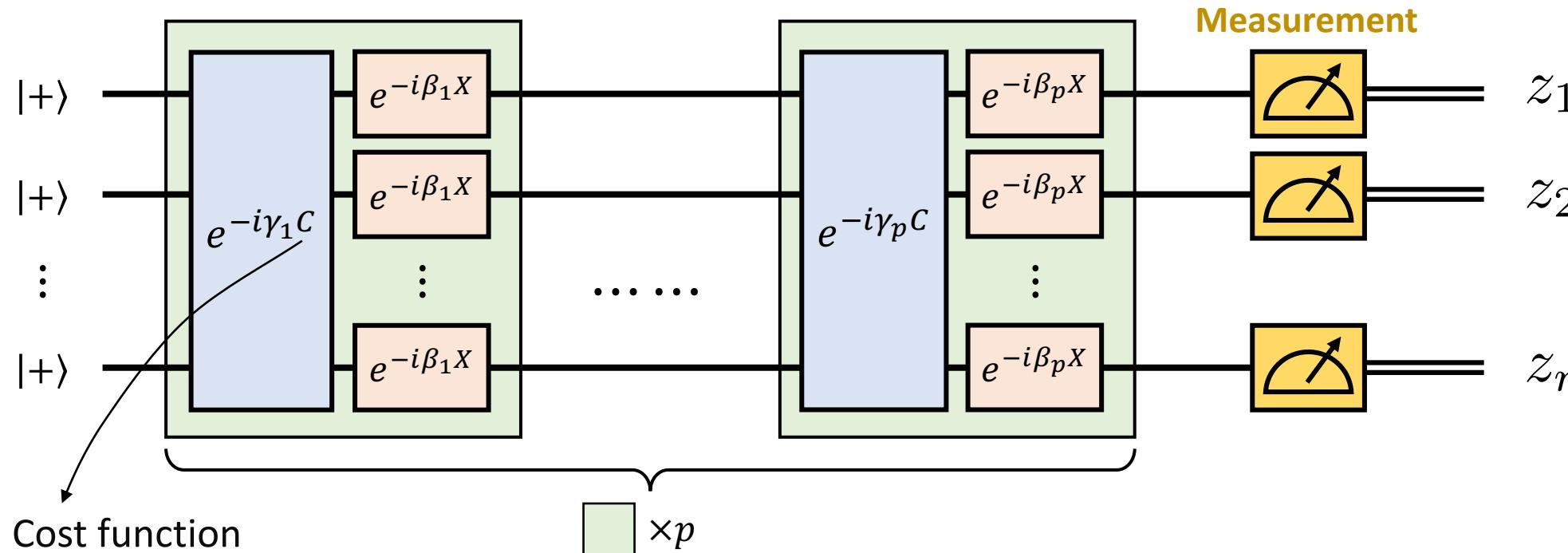


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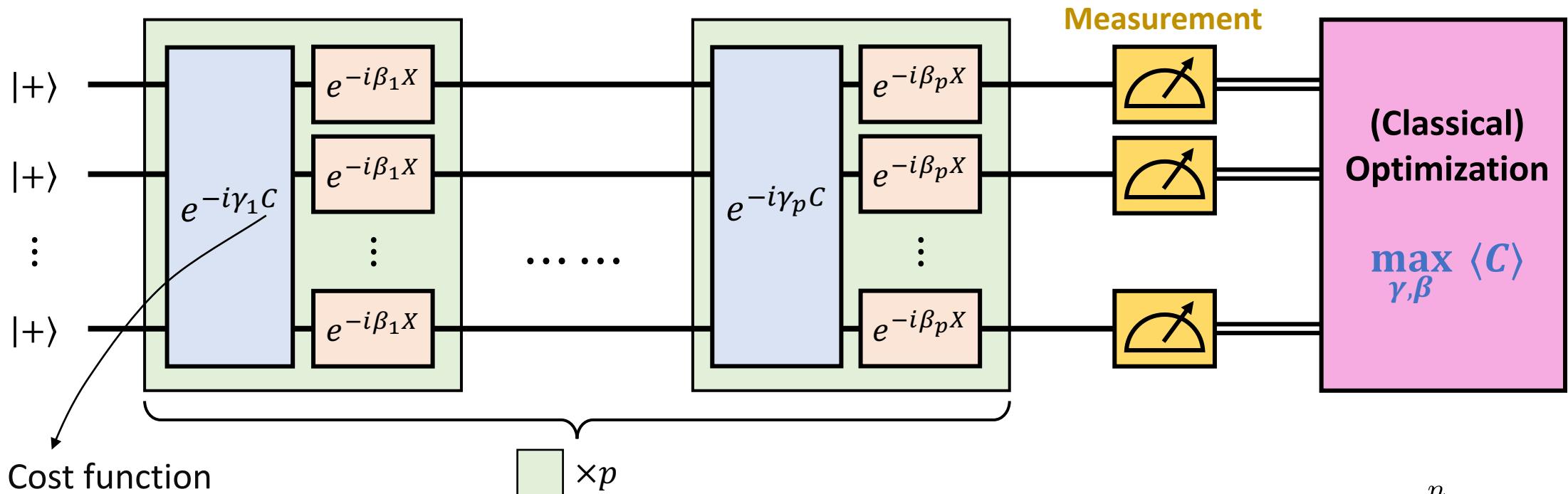
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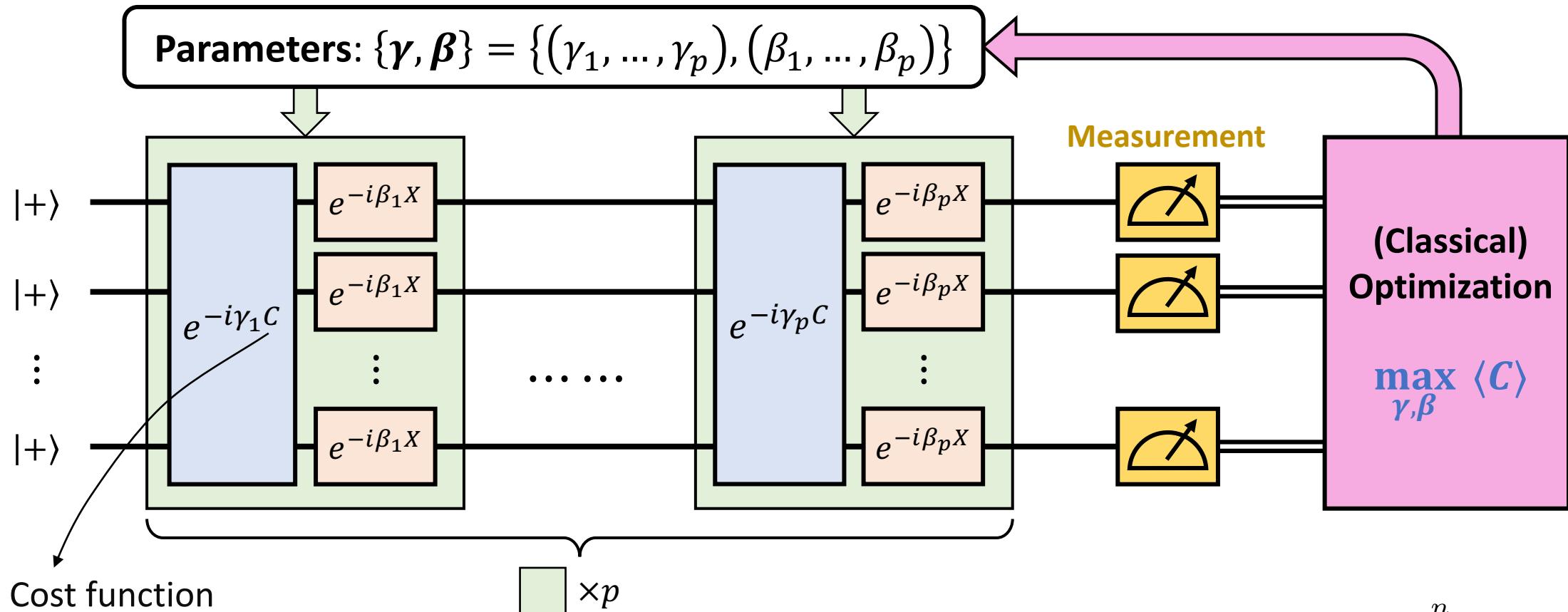
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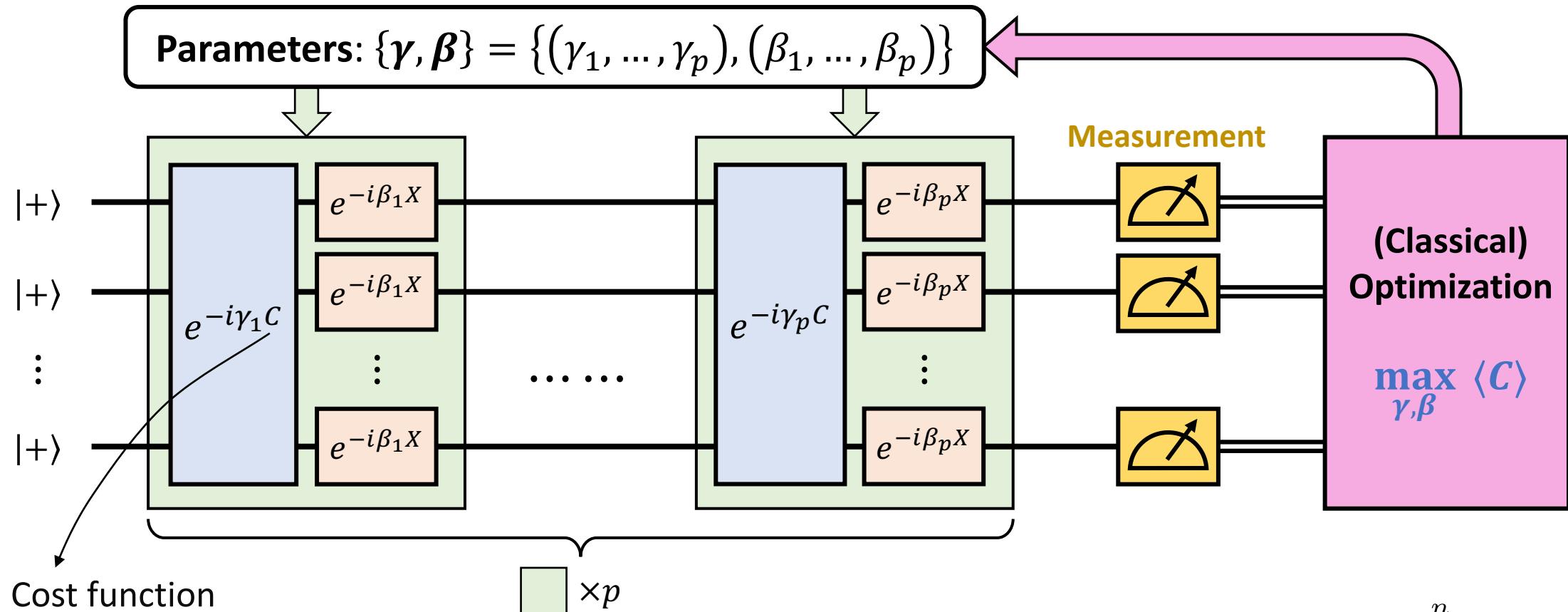
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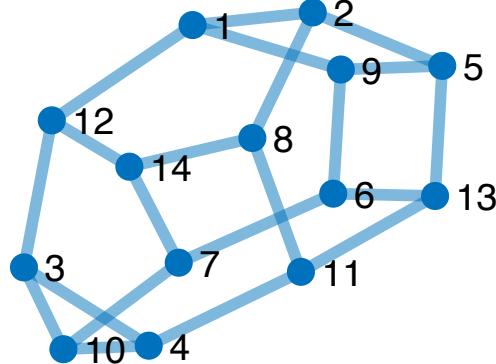
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As $p \rightarrow \infty$ QAOA can get the global optimum

Previous Results on the QAOA

- Analyze performance via “subgraphs”

e.g. MaxCut on 3-regular graphs



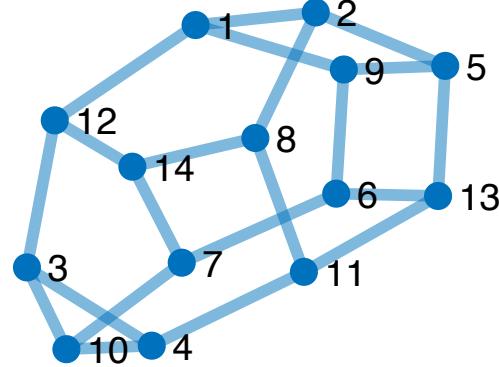
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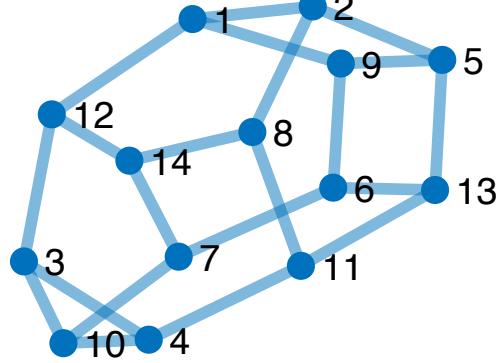
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e.g. *MaxCut on 3-regular graphs*

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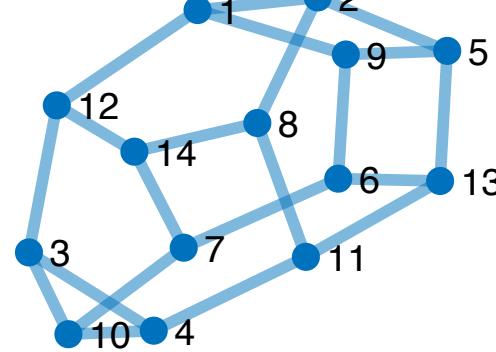
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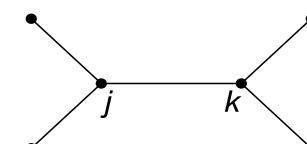
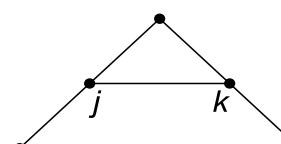
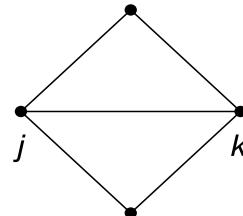
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supported on 3 types of subgraphs



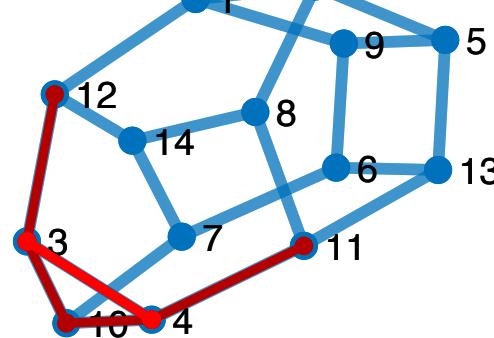
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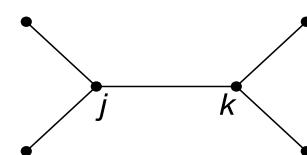
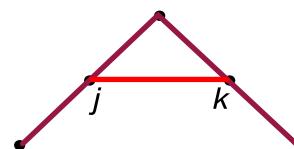
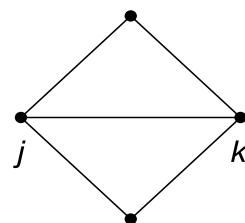
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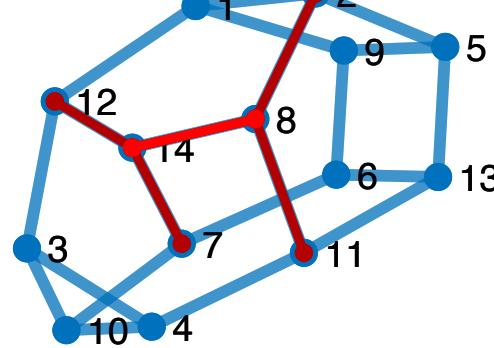
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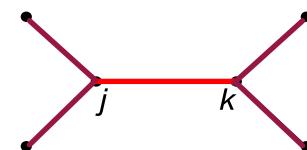
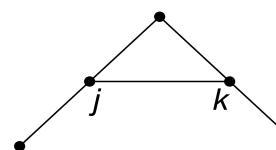
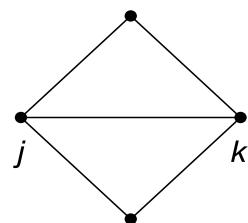
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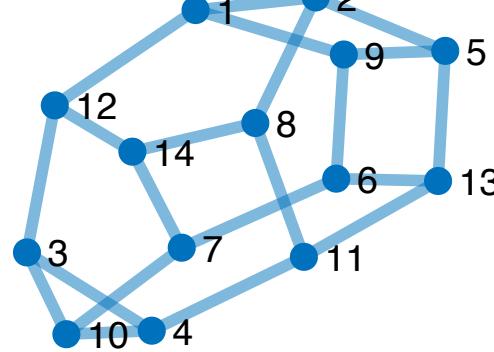
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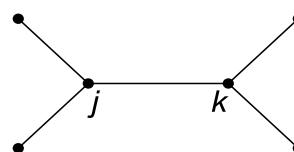
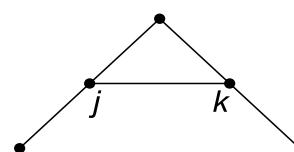
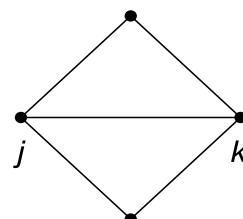
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Worst case guarantee:

$$\langle C \rangle / C_{\max} \geq 0.6924 @ p = 1$$

[Farhi Goldstone Gutmann 2014]

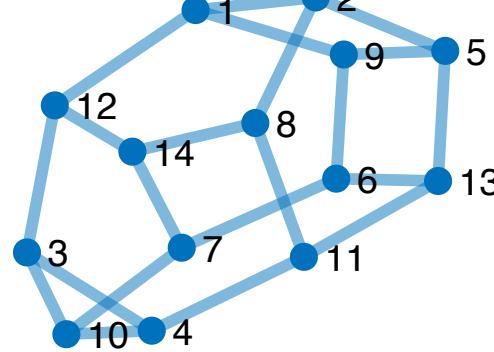
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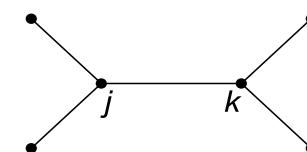
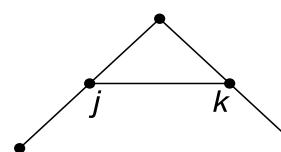
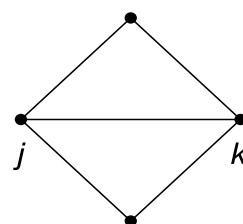
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Difficult for higher p as the complexity of classical simulation grow as $O(2^{2^p})$!

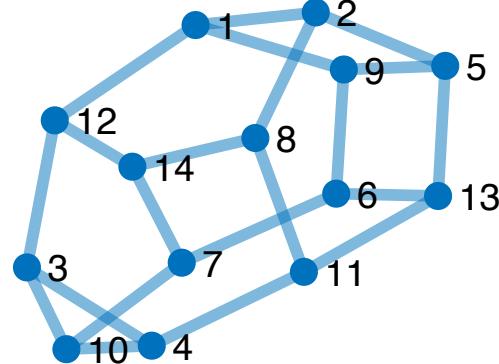
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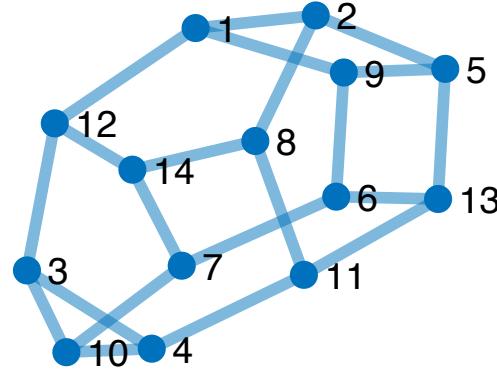


[LZ et al. 2018]
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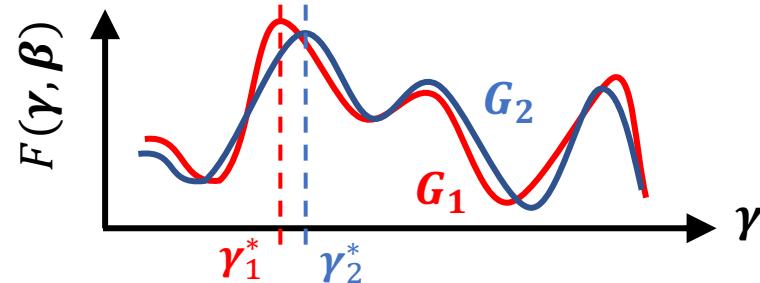
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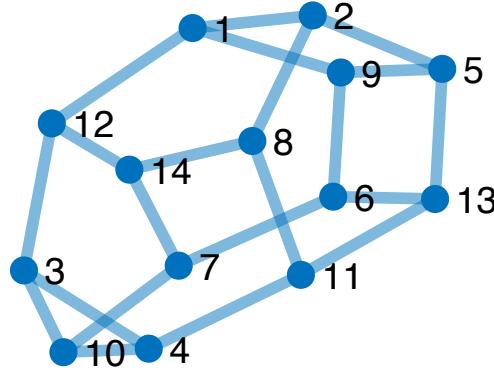
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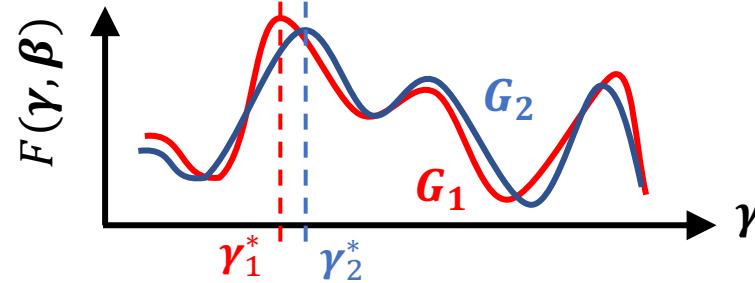
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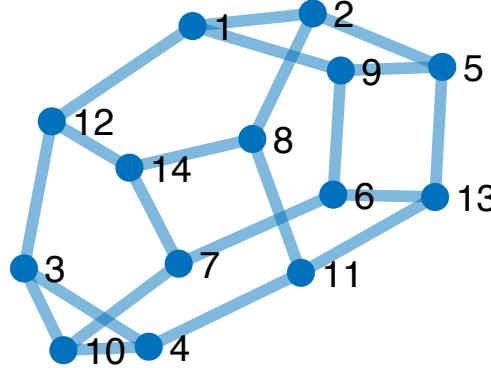
- Low-depth QAOA don't see the whole graph → limited performance

[Bravyi Kliesch Koenig Tang 2019]
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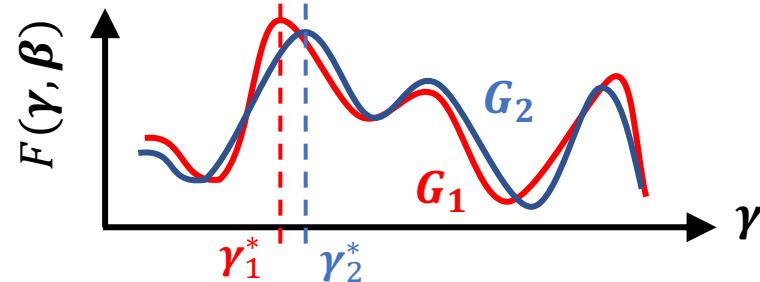
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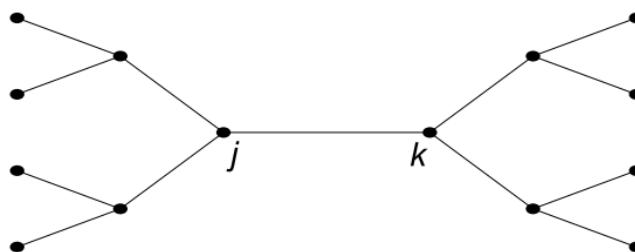
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On d -regular graphs,
mostly see trees when
 $p \ll \log_{d-1} n$

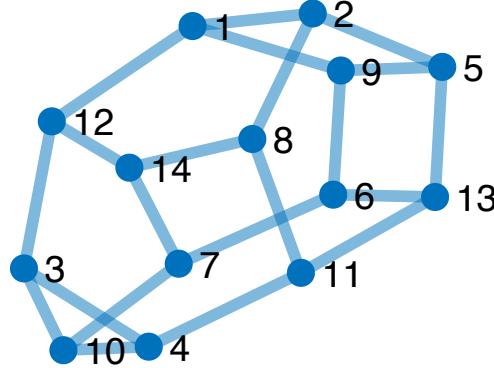


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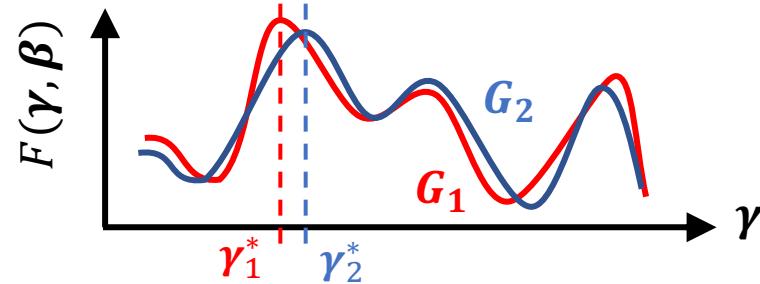
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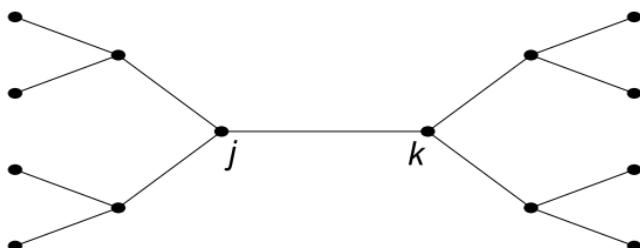
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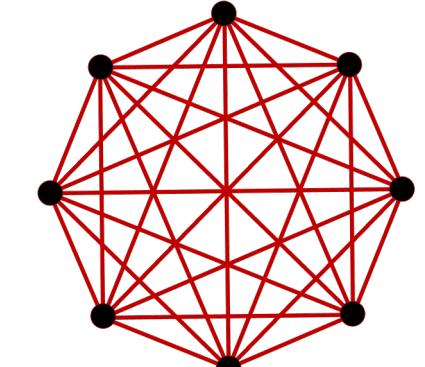


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Cannot distinguish bipartite vs.
typical (frustrated) graphs

The Sherrington-Kirkpatrick model

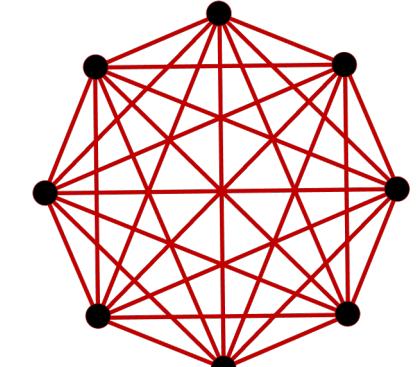
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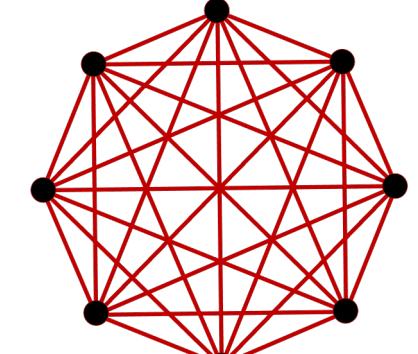
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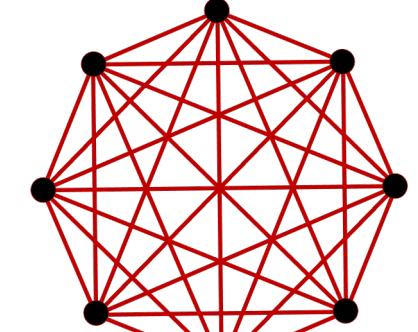
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- Unbounded vertex degree \rightarrow QAOA sees the whole graph at $p = 2$
- **Worst case:** NP-hard to approximate within $O(1/\log^c(n))$ factor [Arora *et al.* 2005]
- **Typical case:** Famously, Parisi (1979) predicted and Talagrand (2006) proved that

$$\lim_{n \rightarrow \infty} \frac{1}{n} \max_z C_J(z) = \Pi_* = 0.763166\dots$$

Complexity of solving a typical SK instance?

- Parisi *et al.*'s result does not construct the solution!

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- Known results of typical-case complexity:
 1. Simulated Annealing is believed to fail for this problem [Parisi]
 2. Semi-Definite Programming obtains $C/n = 2/\pi \approx 0.6366$ [Montanari Sen 2016]
 3. Assuming the conjecture that the SK model has no “**overlap gap property**” (OGP), Andrea Montanari’s algorithm (2018) outputs $\hat{\mathbf{z}}$ with

$$C/n \geq (1 - \epsilon)\Pi_* \quad \text{in time } O(n^2/\epsilon^k)$$

Main Result 1: Performance of the QAOA applied to the SK model

We give an $O(16^p)$ -time method to evaluate

$$V_p(\gamma, \beta) = \lim_{n \rightarrow \infty} \frac{1}{n} \mathbb{E}_J [\langle \gamma, \beta | C | \gamma, \beta \rangle]$$

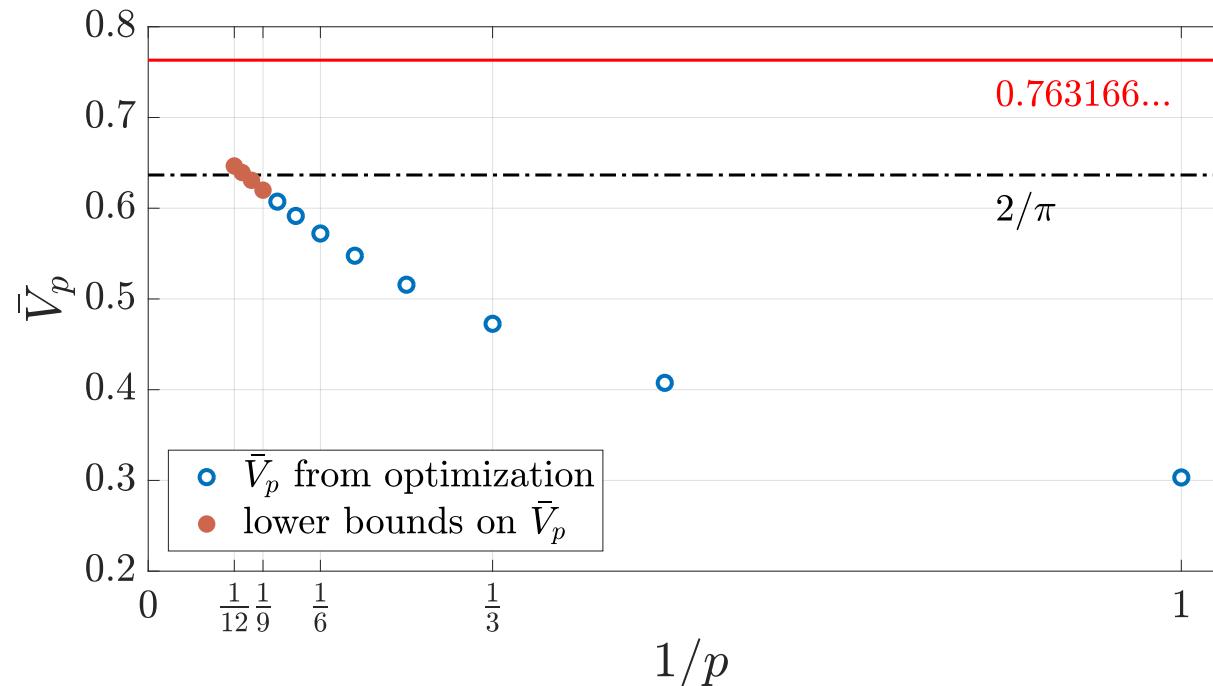
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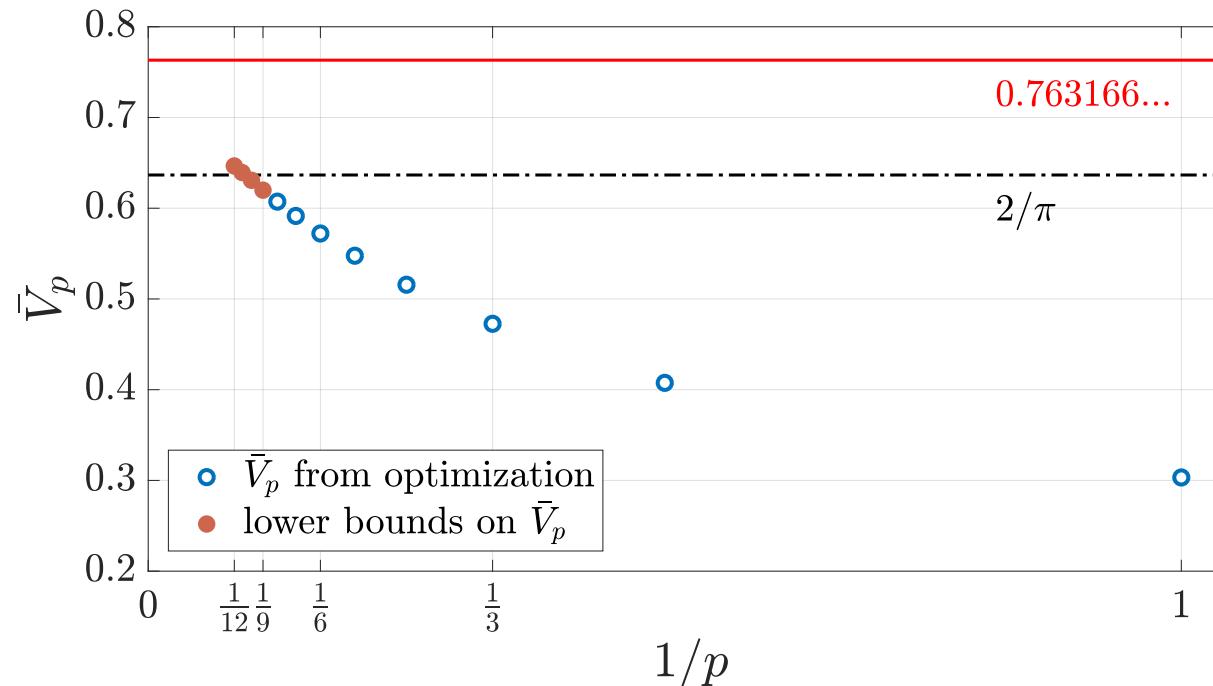
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**QAOA beats SDP
@ $p=11$**

Main Result 2: Concentration of QAOA on the SK model

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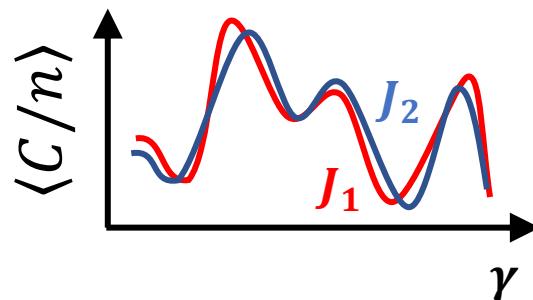
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Concentration over instances
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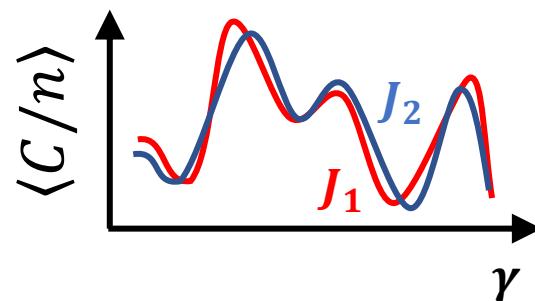


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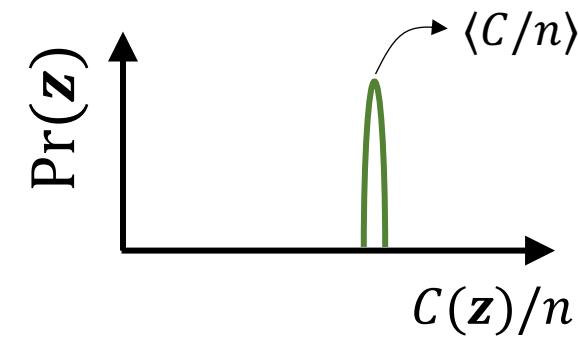
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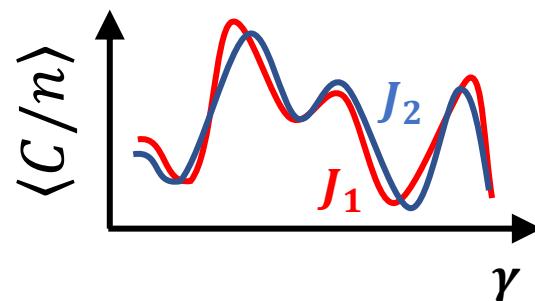


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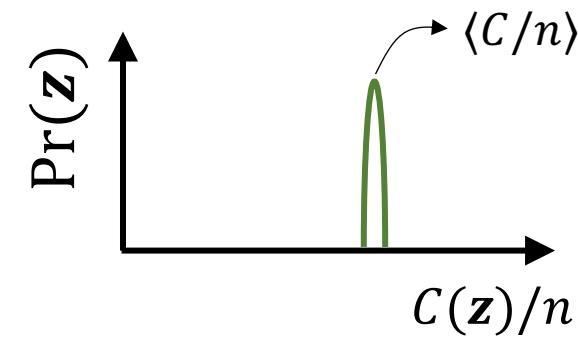
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Concentration over measurements



- With probability $\rightarrow 1$ as $n \rightarrow \infty$, applying QAOA and measuring will give us a bit string \mathbf{z} which has

$$C(\mathbf{z})/n \approx \langle C/n \rangle \approx V_p$$

Key Idea: Average over instances

- Parisi's formalism requires delicate tricks
 - A replica-symmetry-breaking ansatz for the free energy:

$$\mathbb{E}_J[\log Z_J]$$

$$Z_J(T) = \text{tr}(e^{C_J/T})$$

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For ϕ small, use $\mathbb{E}_J[e^{iJ\phi}] = 1 - \frac{1}{2}\phi^2 + \dots$ $\mathbb{E}_J[Je^{iJ\phi}] = i\phi + \dots$

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$$\frac{1}{n} \mathbb{E}_J[\langle C \rangle] \approx \frac{i}{n^{3/2}} \sum_{\mathbf{z}^1, \mathbf{z}^2} \left[\langle \mathbf{z}^1 | e^{i\beta B} | \mathbf{1} \rangle \langle \mathbf{1} | e^{-i\beta B} | \mathbf{z}^2 \rangle \sum_{k < \ell} \phi_{k\ell} \prod_{i < j} \left(1 - \frac{1}{2} \phi_{ij}^2\right) \right]$$

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\mathbf{z}^1 + + + + + + + - - - - - - -

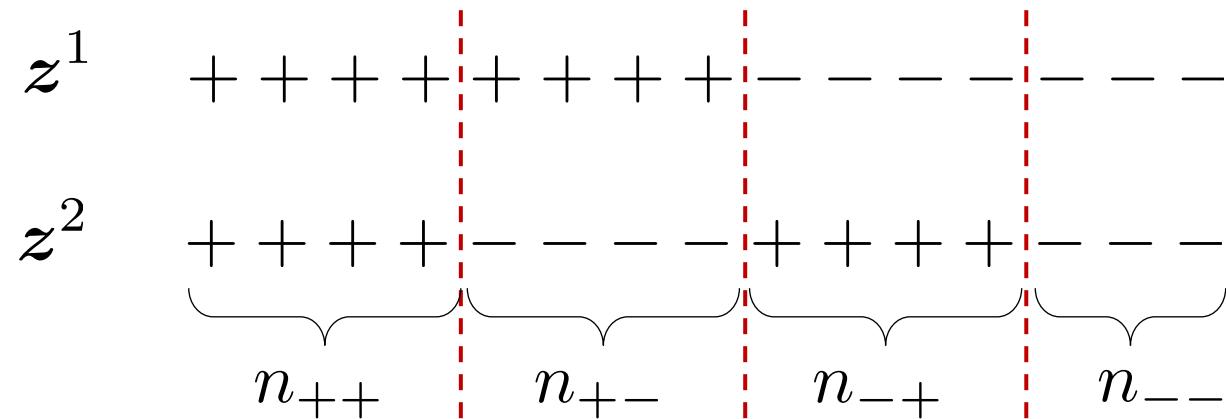
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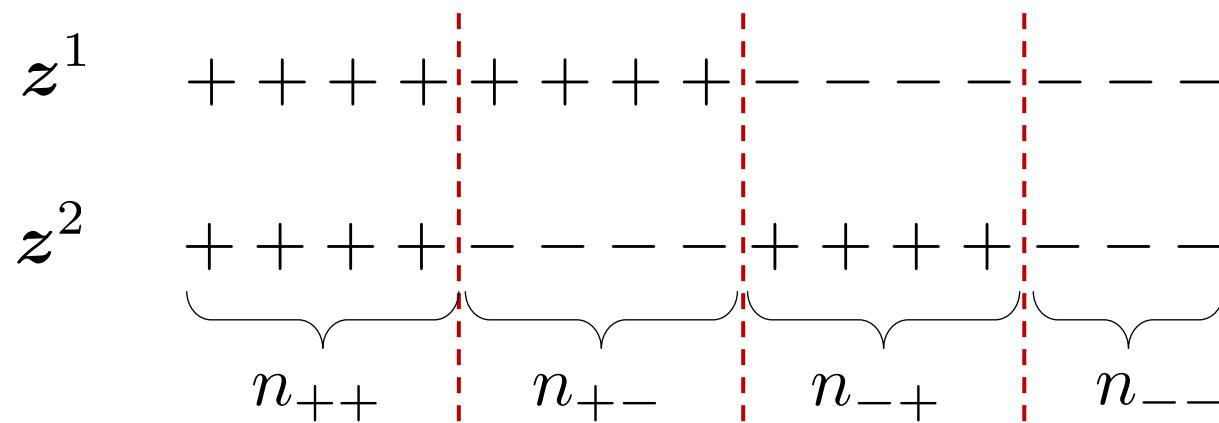
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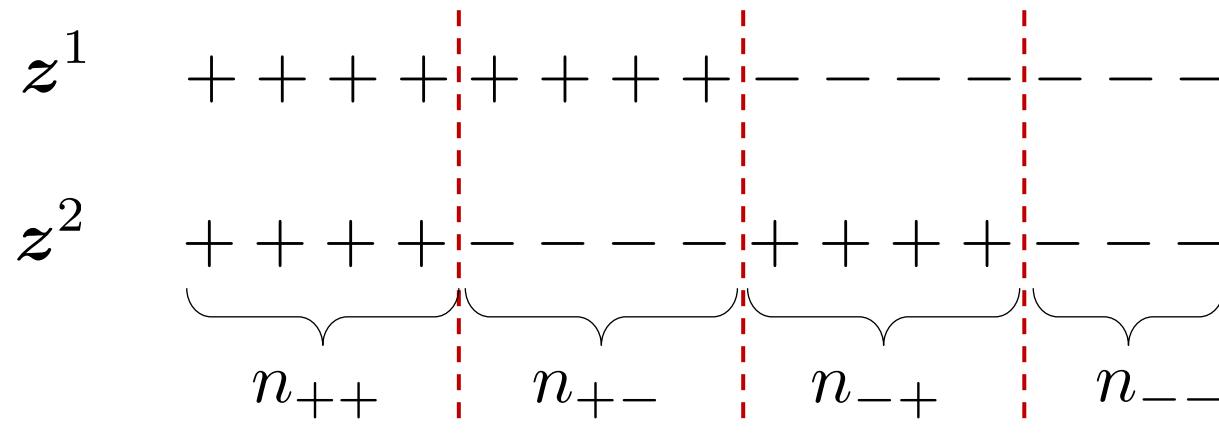
n-bit strings *configurations*

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For general p , there are 2^{2p} configurations

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n -bit strings configurations



$\exp(O(p))$ complexity

Performance of the QAOA on the SK model

- Turn the crank, we get at $p = 1$

$$V_1 = \lim_{n \rightarrow \infty} \frac{1}{n} \mathbb{E}_J[\langle C \rangle] = \gamma e^{-2\gamma^2} \sin 4\beta$$

Optimum @ $\beta = \frac{\pi}{8}, \gamma = \frac{1}{2}$

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Generic QAOA state has
 $\langle C \rangle = e^{-O(n)}$!!

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...	...
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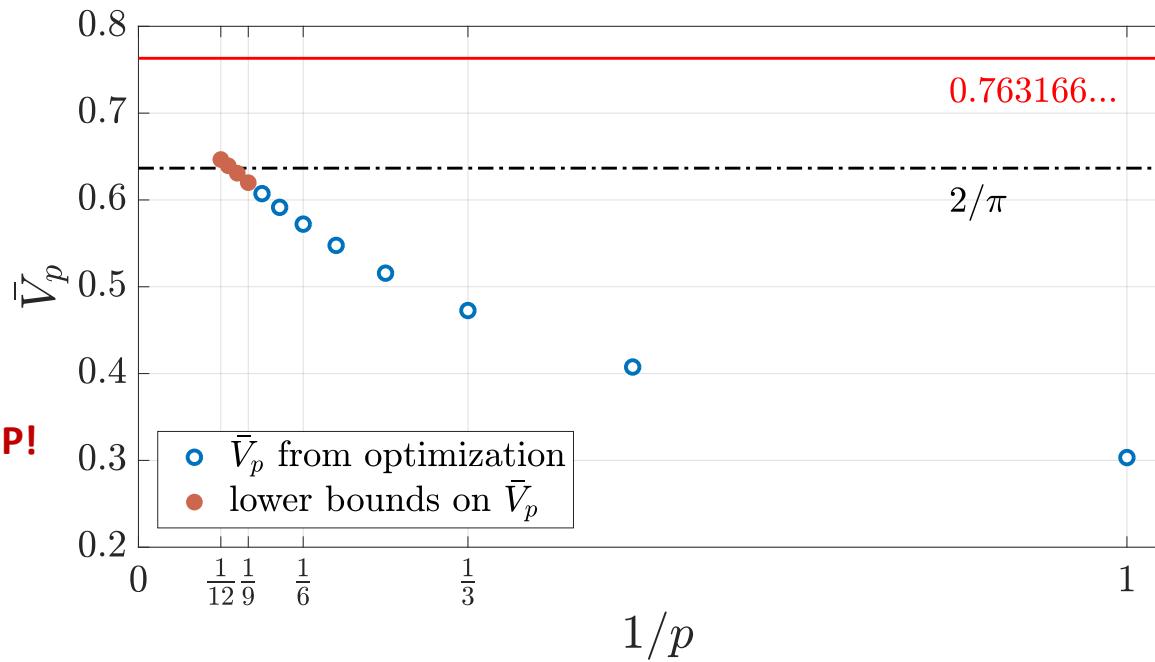
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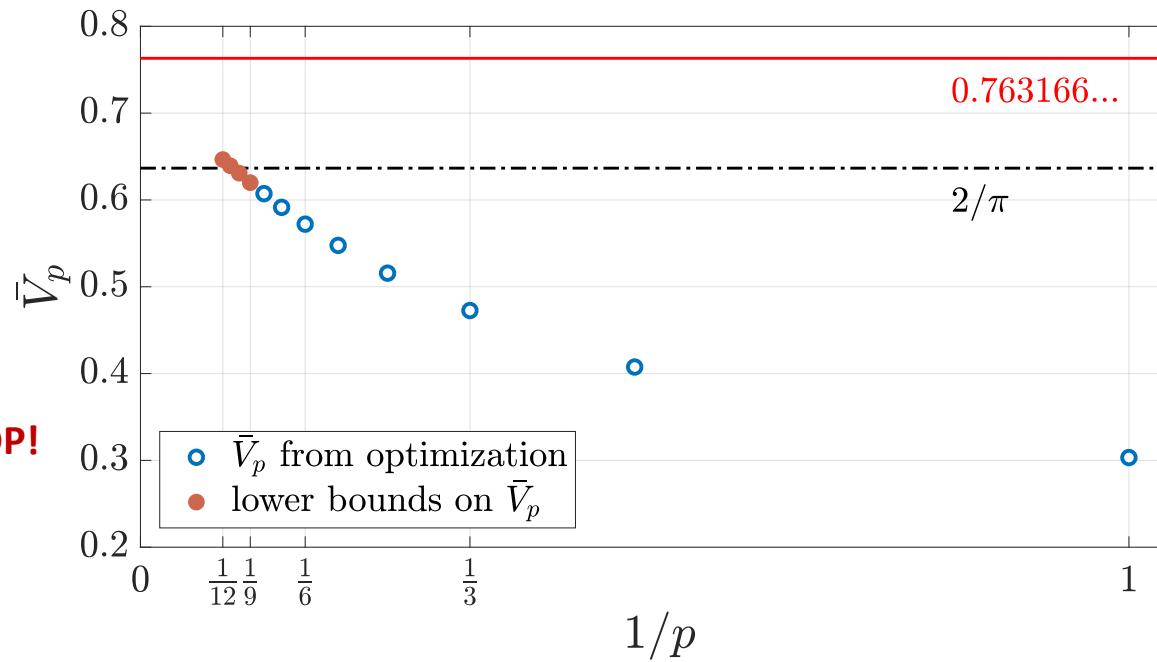
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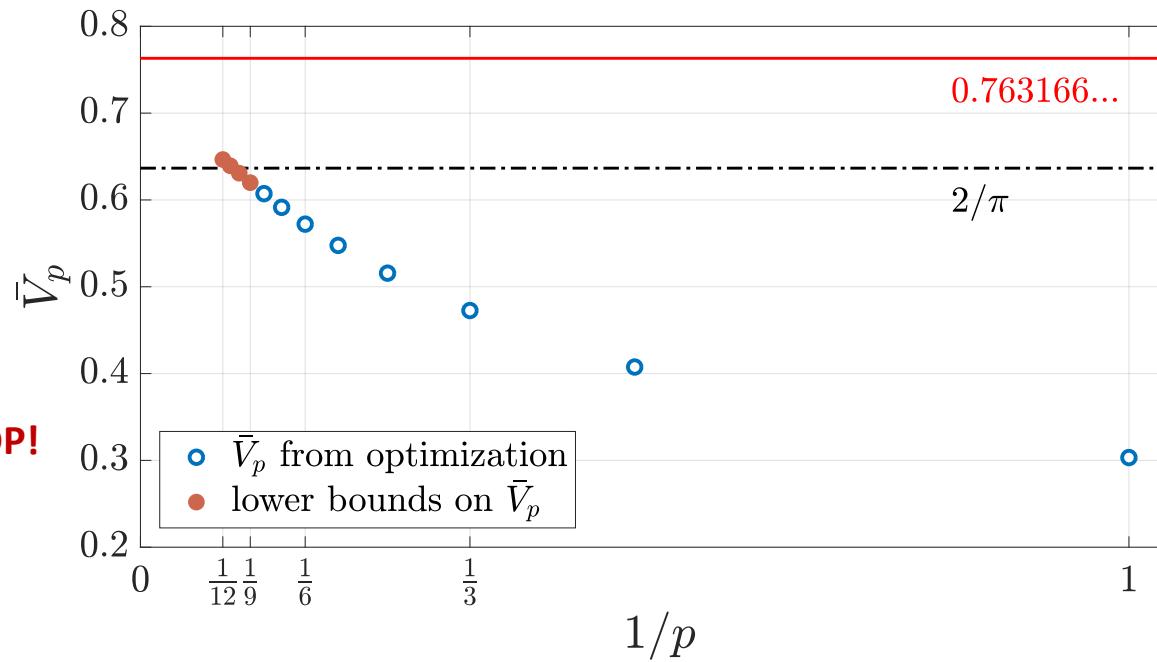
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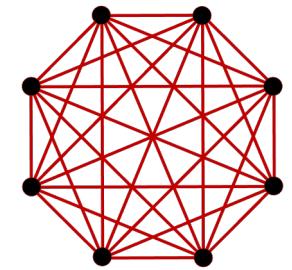
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If $\lim_{p \rightarrow \infty} \bar{V}_p = \Pi_*$, then a power law fit of optimized V_p yields

$$\bar{V}_p \approx \Pi_* - \frac{1.2}{(p+2)^{0.9}}$$

Summary



- We *analytically* obtain a formula for **typical case performance** of the QAOA on the SK model at high p
 - Evaluation takes $O(16^p)$ currently but may be improvable
- QAOA **beats** Semi-Definite Programming at $p = 11$
- **Concentration** over instances and measurements

[Farhi Goldstone Gutmann LZ, arXiv:1910.08187]
<https://github.com/leologist/QAOA-SK>

Outlook

- Show **convergence** of QAOA as $p \rightarrow \infty$? $\lim_{p \rightarrow \infty} \lim_{n \rightarrow \infty} \stackrel{?}{=} \lim_{n \rightarrow \infty} \lim_{p \rightarrow \infty}$

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- **Average over instances** for harder problems for provable speedup?

q-spin model

$$C = \sum_{i_1 < \dots < i_q} J_{i_1 \dots i_q} Z_{i_1} \cdots Z_{i_q}$$

Provably hard for classical algorithms
due to their **“Overlap Gap Property”**

[Gamarnik Jagannath 2019]

[Gamarnik Jagannath Wein 2020]

Montanari's algorithm stuck at 98.4%
approximation ratio for $q=3$
[Alaoui Montanari 2020]

QAOA @ $p=1$
gets 33% for $q=3$