

# Fault-tolerant Coding for Quantum Communication

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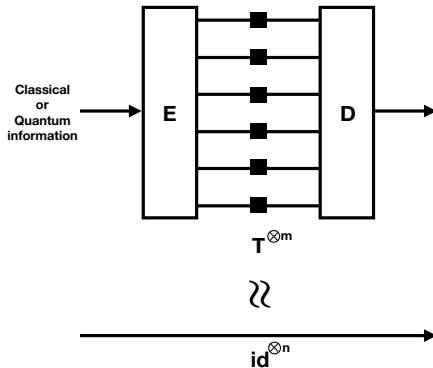
joint work with  
Matthias Christandl

02.02.2021

**Capacities quantify the optimal rates of information transmission over noisy channels!**

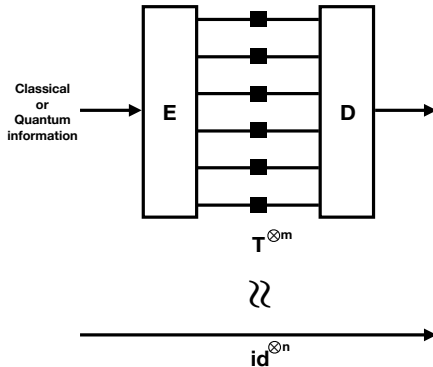
## Capacities of quantum channels

**Goal:** Transmit classical or quantum information over quantum channel  $T$ .



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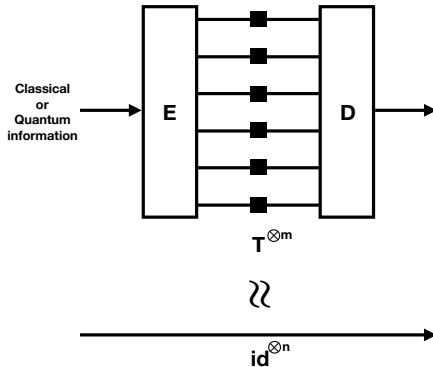
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**Coding error:**  $\epsilon = \|\text{id}_2^{\otimes n} - D \circ T^{\otimes m} \circ E\| \rightsquigarrow (n, m, \epsilon)$ -coding scheme.

## Capacities of quantum channels

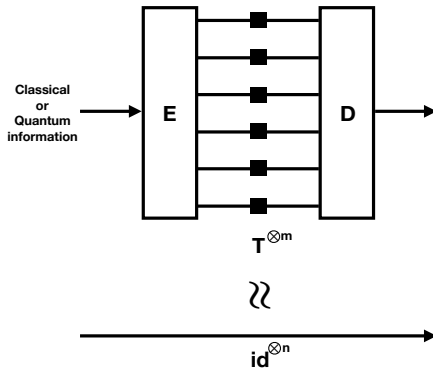
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## Capacities of quantum channels

**Goal:** Transmit classical or quantum information over quantum channel  $T$ .



**Optimal coding error:**  $\epsilon_m = \inf_{E_m, D_m} \|\text{id}_2^{\otimes n_m} - D_m \circ T^{\otimes m} \circ E_m\|$ .

**Achievable rate:**  $R = \liminf_{m \rightarrow \infty} \frac{n_m}{m}$  such that  $\epsilon_m \rightarrow 0$  as  $m \rightarrow \infty$ .

**Capacities  $C(T)$  and  $Q(T)$  are the suprema of achievable rates!**

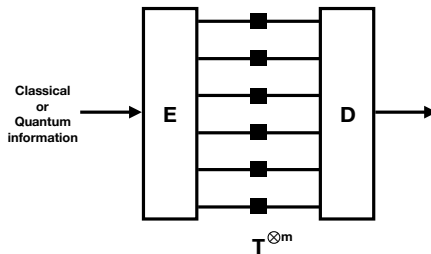
## Capacity formulas

For a quantum channel  $T : \mathcal{M}_{d_A} \rightarrow \mathcal{M}_{d_B}$  we have:

- $C(T) = \lim_{k \rightarrow \infty} \frac{1}{k} \chi(T^{\otimes k})$ . (Holevo, Schumacher, Westmoreland)
- $Q(T) = \lim_{k \rightarrow \infty} \frac{1}{k} I_{\text{coh}}(T^{\otimes k})$ . (Lloyd, Shor, Devetak)

**Provocative question:** Are these formulas relevant in reality?

## Problem



Assumption in quantum Shannon theory:

$E, D$  can be executed without faults.

This is not realistic:

**Quantum computers are inherently noisy!**



**What are the optimal rates for sending information reliably over quantum channels using noisy quantum hardware?**

**Very short introduction to fault-tolerance.**

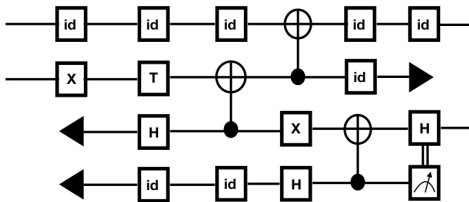
## Quantum circuits

### Universal set of elementary operations:

- Single-qubit gates:  $X$ ,  $Y$ ,  $Z$  and  $T$ .
- Two-qubit gate:  $CNOT$ .
- Identity gate (qubit at rest).
- Preparations and measurements in computational basis.
- Partial traces (throw qubits away).

**Quantum circuits:** Quantum channels build from elementary operations.

⇒ They are dense in the set of all quantum channels!



## Probabilistic local noise models

**In this talk:** Focus on i. i. d. Pauli noise!

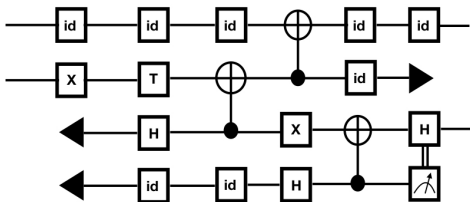
Elementary operations (locations) fail independently with probability  $p$ .

~> At the failing locations, we insert the noise channel

$$M(\rho) = \frac{1}{3} (X\rho X + Y\rho Y + Z\rho Z)$$

into the circuit diagram:

- **Single qubit gate or preparation:** Insert  $M$  directly after operation.
- **CNOT gate:** Insert  $M$  directly after gate on both outputs.
- **Measurements:** Insert  $M$  directly before the measurement.



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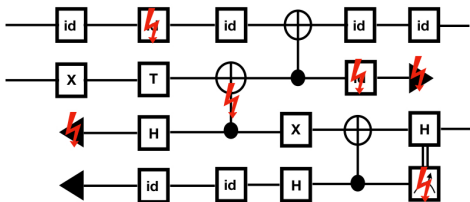
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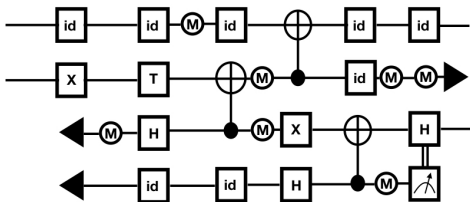
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### Terminology:

- $\mathcal{F}(p)$  denotes this fault model.
- For quantum circuit  $\Gamma$  write  $[\Gamma]_{\mathcal{F}(p)}$  for noisy circuit.

## The threshold theorem

**Main idea:** Implement quantum circuits in quantum error correcting code.

↪ replace each elementary operation by a corresponding gadget.

**Write:**  $\Gamma_{\mathcal{C}}$  for the implementation of a quantum circuit  $\Gamma$  in  $\mathcal{C}$ .

### Theorem (Threshold Theorem by Aliferis, Gottesman, Preskill<sup>1</sup>)

*There exists a family  $(\mathcal{C}_l)_{l \in \mathbb{N}}$  of QECCs with threshold  $p_0 \in (0, 1]$  such that:*

*For every quantum circuit  $\Gamma$  with classical input and output we have*

$$\| [\Gamma_{\mathcal{C}_l}]_{\mathcal{F}(p)} - \Gamma \|_1 \leq C \left( \frac{p}{p_0} \right)^{2^l} |\text{Loc}(\Gamma)|,$$

*for every  $p < p_0$ . Here,  $\text{Loc}(\Gamma)$  denotes the set of locations in  $\Gamma$ .*

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<sup>1</sup>Aliferis, Gottesman, Preskill, “Quantum Accuracy Threshold for Concatenated Distance-3 Codes” Quantum Inf. and Comp. (2006)

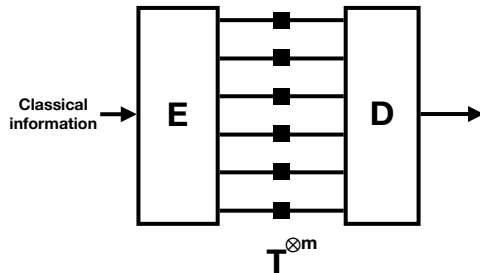


**For fault-tolerant communication we implement coding schemes in quantum error correcting codes.**

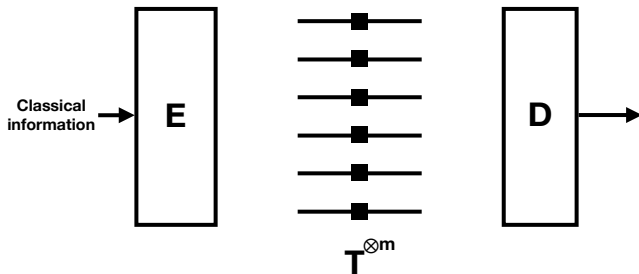
**Here, we focus on the classical capacity.**

## Fault-tolerant classical communication

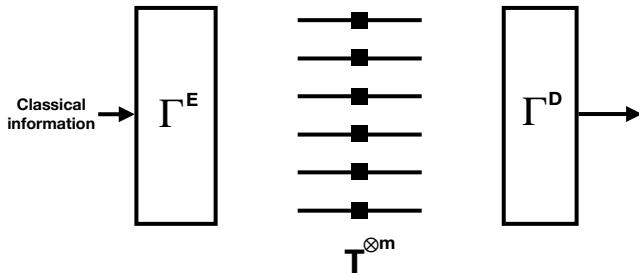
## Fault-tolerant classical communication



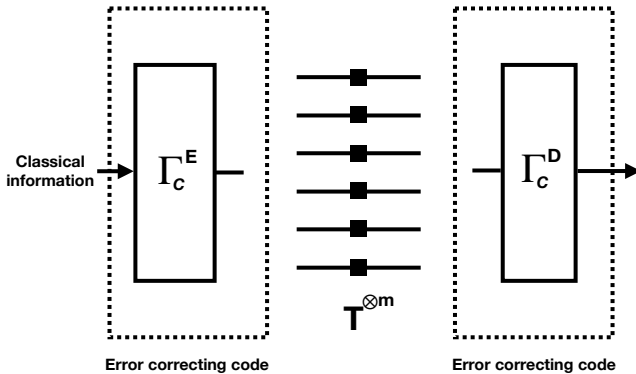
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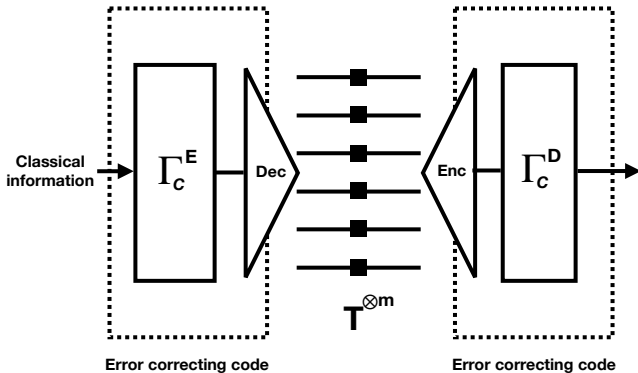
## Fault-tolerant classical communication



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## Fault-tolerant classical communication



**Problem:** How do we get the logical states into and out of the channel?

$\rightsquigarrow$  Need interface quantum circuits!  $\rightsquigarrow$  **This is noisy as well!**



**Let's formalize this!**

## The fault-tolerant classical capacity

**For simplicity:** Consider qubit channel  $T : \mathcal{M}_2 \rightarrow \mathcal{M}_2$ .

For classical channel  $S$  on  $\{1, \dots, N\}$  define

$$\epsilon_{cl}(S) = \frac{1}{N} \sum_{i=1}^N \text{Prob}(S(i) \neq i),$$

the average classical communication error.

### Definition (Fault-tolerant coding schemes for classical communication)

An  $(n, m, \epsilon)$  fault-tolerant coding scheme for classical communication over  $T$  under the noise model  $\mathcal{F}(p)$  is a pair of quantum circuits  $\Gamma^E$  and  $\Gamma^D$  with:

- $\Gamma^E : \mathbb{C}^{2^n} \rightarrow \mathcal{M}_2^{\otimes m}$  has classical input.
- $\Gamma^D : \mathcal{M}_2^{\otimes m} \rightarrow \mathbb{C}^{2^n}$  has classical output.
- We have

$$\inf_{\mathcal{C}_1, \mathcal{C}_2} \epsilon_{cl} \left( \left[ \Gamma_{\mathcal{C}_1}^D \circ \text{Enc}_{\mathcal{C}_1} \circ T^{\otimes m} \circ \text{Dec}_{\mathcal{C}_2} \circ \Gamma_{\mathcal{C}_2}^E \right]_{\mathcal{F}(p)} \right) \leq \epsilon,$$

with infimum over QECCs  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , and  $\text{Enc}_{\mathcal{C}_1}$  and  $\text{Dec}_{\mathcal{C}_2}$ .

$\rightsquigarrow$  **FT capacity:**  $C_{\mathcal{F}(p)}(T)$  supremum of fault-tolerantly achievable rates.

**Main result**

## Threshold theorem for capacity

**FT capacity:**  $C_{\mathcal{F}(p)}(T)$  supremum of fault-tolerantly achievable rates.

### Theorem (Christandl, AMH 2020)

*For every quantum channel  $T : \mathcal{M}_{d_A} \rightarrow \mathcal{M}_{d_B}$  and every  $\delta > 0$ , there exists a threshold  $p(\delta, T) > 0$  such that*

$$C_{\mathcal{F}(p)}(T) \geq C(T) - \delta.$$

*for all  $0 \leq p \leq p(\delta, T)$ . In particular, we have*

$$\lim_{p \searrow 0} C_{\mathcal{F}(p)}(T) = C(T),$$

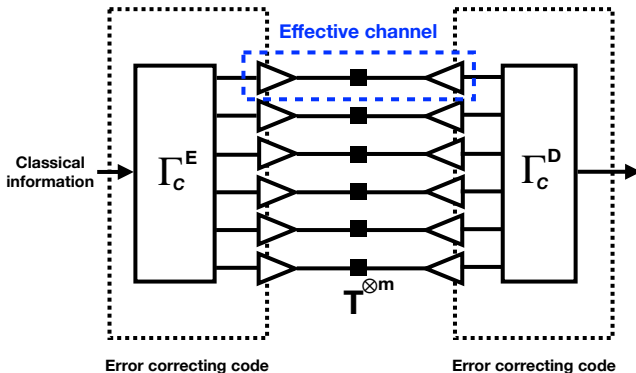
*for all quantum channels  $T : \mathcal{M}_{d_1} \rightarrow \mathcal{M}_{d_2}$ .*

**Sketch of the proof and some difficulties.**

**For simplicity, we consider qubit channel  $T : \mathcal{M}_2 \rightarrow \mathcal{M}_2$ .**

**Step 1: Choose a circuit code and interface circuit.**

## Circuit code and interface



**Obvious choice:** Use codes  $(C_I)_{I \in \mathbb{N}}$  from threshold theorem.

~> **Concatenated 7-qubit Steane code:** Encode 1 qubit into  $7^l$  qubits.

~> **We can use a product interface.** ~> What is the effective channel?

**Step 2: Identify effective channel.**



## **Short intermezzo**

**How to analyze fault-tolerant circuits under noise?**

**Following Aliferis, Gottesman and Preskill<sup>2</sup>**

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<sup>2</sup>Following Aliferis, Gottesman, Preskill, “Quantum Accuracy Threshold for Concatenated Distance-3 Codes” Quantum Inf. and Comp. (2006)

## QECCs $(\mathcal{C}_l)_{l \in \mathbb{N}}$ from threshold theorem

We have

$$\mathcal{C}_l = \text{span}(|\bar{0}\rangle, |\bar{1}\rangle) \subset (\mathbb{C}^2)^{\otimes 7^l}.$$

There exists direct-sum decomposition

$$(\mathbb{C}^2)^{\otimes 7^l} = \bigoplus_{s \in \{0,1\}^{7^l-1}} E_s(\mathcal{C}_l)$$

for product-Pauli operator  $E_s$ .

**$E_s$  is the Pauli error associated with syndrome  $s$ .**

**To correct errors, we measure syndrome and apply corresponding  $E_s$ !**

## Separating data and noise

We have

$$(\mathbb{C}^2)^{\otimes 7^l} = \bigoplus_{s \in \{0,1\}^{7^l-1}} E_s(\mathcal{C}_l) = \text{span} \left( \bigcup_{s \in \{0,1\}^{7^l-1}} \{E_s|\bar{0}\rangle, E_s|\bar{1}\rangle\} \right).$$

Define unitary map  $D : (\mathbb{C}^2)^{\otimes 7^l} \rightarrow \mathbb{C}^2 \otimes (\mathbb{C}^2)^{\otimes 7^l-1}$  by

$$D(E_s|\bar{i}\rangle) = |i\rangle \otimes |s\rangle.$$

**Ideal decoder:**  $\text{Dec}^* : \mathcal{M}_2^{\otimes 7^l} \rightarrow \mathcal{M}_2 \otimes \mathcal{M}_2^{\otimes 7^l-1}$  given by

$$\text{Dec}^* = \text{Ad}_D.$$

**Ideal encoder:**  $\text{Enc}^* : \mathcal{M}_2 \otimes \mathcal{M}_2^{\otimes 7^l-1} \rightarrow \mathcal{M}_2^{\otimes 7^l}$  given by

$$\text{Enc}^* = \text{Ad}_{D^\dagger} = (\text{Dec}^*)^{-1}$$

**How to use these ideal operations?**

## Transforming noise

**Most basic case:**

$$\text{Dec}^* (E_{s_1} |\bar{\psi}\rangle\langle\bar{\psi}| E_{s_2}) = |\psi\rangle\langle\psi| \otimes |s_1\rangle\langle s_2|$$

**Advanced case:**

For noise channel  $R(X) = \sum_k \text{Ad}_{A_k}$  with each  $A_k \in \text{span}(E_s)$  we have

$$\text{Dec}^* (R(|\bar{\psi}\rangle\langle\bar{\psi}|)) = |\psi\rangle\langle\psi| \otimes \sigma_S$$

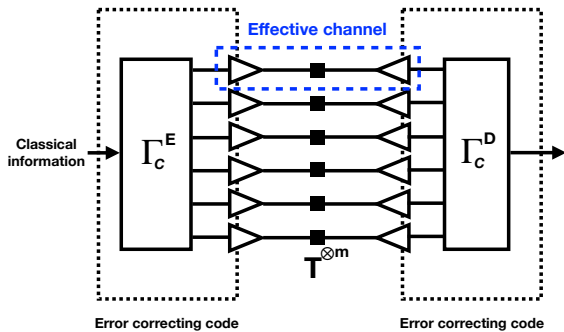
for syndrome state  $\sigma_S$  depending on  $R$ .

**Correctable errors transform to products under  $\text{Dec}^*$ !**

**Step 2: Identify effective channel.**

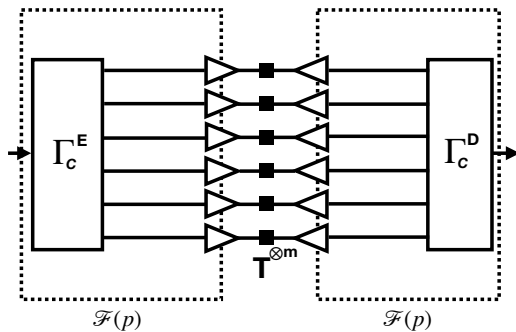
**Identify effective channel**

## Identify effective channel

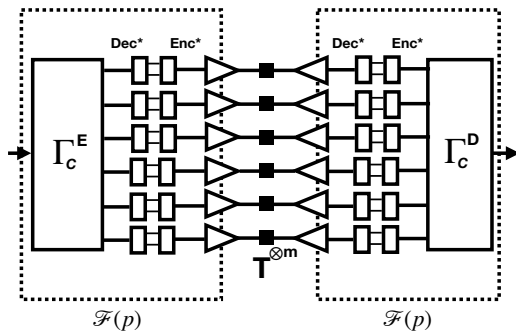




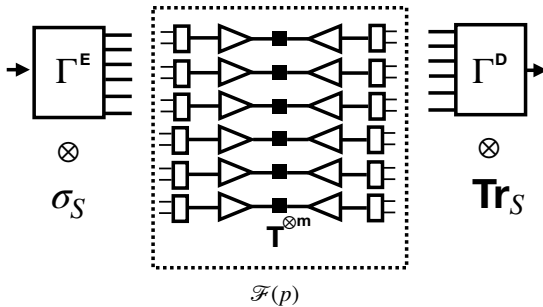
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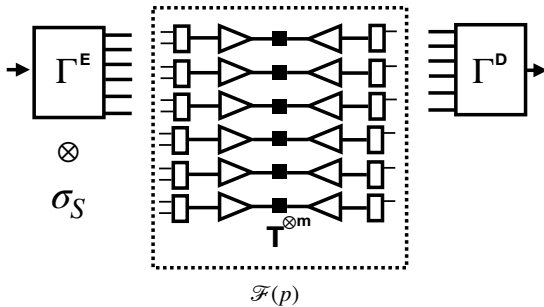
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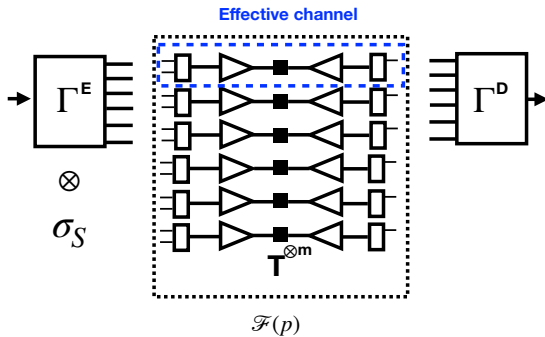
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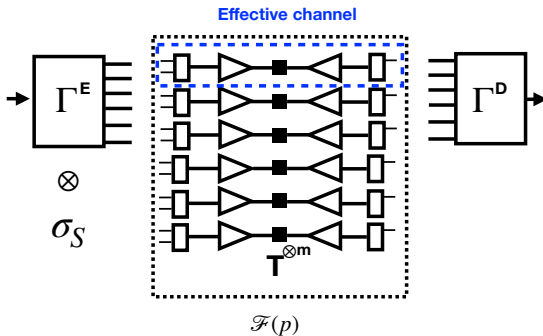
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**Effective channel:**  $T_{p,l} : \mathcal{M}_2 \otimes \mathcal{M}_2^{\otimes (7^l - 1)} \rightarrow \mathcal{M}_2$

## Effective channel

Have to find coding scheme  $(E_m, D_m)$  such that

$$\epsilon_{cl} \left( D_m \circ T_{p,l}^{\otimes m} (\cdot \otimes \sigma_S^m) \circ E_m \right) \rightarrow 0 \quad \text{as } m \rightarrow \infty.$$

↪ **Fully-quantum arbitrarily varying channel<sup>3</sup>!**

**Luckily, there is more structure:**

### Lemma (Christandl, AMH 2020)

*There exists interface circuits  $\text{Enc}_l$  and  $\text{Dec}_l$  for the QECC  $\mathcal{C}_l$  such that the effective channel  $T_{p,l} : \mathcal{M}_2 \otimes \mathcal{M}_2^{\otimes (7^l-1)} \rightarrow \mathcal{M}_2$  is of the form*

$$T_{p,l} = (1 - 2cp) T \otimes \text{Tr}_S + 2cp N_l,$$

*for some quantum channel  $N_l : \mathcal{M}_2 \otimes \mathcal{M}_2^{\otimes (7^l-1)} \rightarrow \mathcal{M}_2$  and some constant  $c$ .*

**See also:** “Long-distance quantum communication over noisy networks without long-time quantum memory”, Mazurek et al. Phys. Rev. A 90, 062311 (2014)

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<sup>3</sup>“Fully Quantum Arbitrarily Varying Channels: Random Coding Capacity and Capacity Dichotomy”, Boche, Deppe, Nötzel, Winter, Proc. ISIT (2018)

**Step 3: Construct a coding scheme.**



## Construction of coding scheme

Exploit the special structure of

$$T_{p,l} = (1 - 2cp)T \otimes \text{Tr}_S + 2cpN_l.$$

### Lemma (Simple postselection)

For any syndrome state  $\sigma$ , any  $m \in \mathbb{N}$  and any  $\delta > 0$  we have

$$T_{p,l}^{\otimes m}(\cdot \otimes \sigma) \leq 2^{m(2cp+\delta)} \tilde{T}_p^{\otimes m} + \exp(-m \frac{2cp\delta^2}{3}) K$$

for a quantum channel  $K : \mathcal{M}_2^{\otimes m} \rightarrow \mathcal{M}_2^{\otimes m}$  and where

$$\tilde{T}_p = (1 - 2cp)T + 2cp \frac{\mathbb{1}_2}{2} \text{Tr}.$$

Then, we can show that:

$$\epsilon_{cl} \left( \left[ \Gamma_{C_{lm}}^{D_m} \circ \text{Enc}_{lm} \circ T^{\otimes m} \circ \text{Dec}_{lm} \circ \Gamma_{C_{lm}}^{E_m} \right]_{\mathcal{F}(p)} \right) \leq 2^{m(2cp+\delta)} \epsilon_{cl} \left( D_m \circ \tilde{T}_p^{\otimes m} \circ E_m \right) + \epsilon_m.$$

where  $\epsilon_m \rightarrow 0$  as  $m \rightarrow \infty$ .

## Construction of coding scheme

Have to find coding scheme  $(E_m, D_m)$  such that

$$2^{m(2cp+\delta)} \epsilon_{cl} \left( D_m \circ \tilde{T}_p^{\otimes m} \circ E_m \right) \rightarrow 0.$$

as  $m \rightarrow \infty$ , for quantum channel

$$\tilde{T}_p = (1 - 2cp)T + 2cp \frac{\mathbb{1}_2}{2} \text{Tr}.$$

**1. Random coding:** This is possible for rates

$$R < \frac{1}{k} \chi \left( \tilde{T}_p^{\otimes k} \right) - g'(p),$$

where  $g'(p) \rightarrow 0$  as  $p \rightarrow 0$ .

**2. Continuity bound:** Since  $\tilde{T}_p \approx T$  any rate

$$R < \frac{1}{k} \chi \left( T^{\otimes k} \right) - g(p),$$

where  $g(p) \rightarrow 0$  as  $p \rightarrow 0$ , is fault-tolerantly achievable.

## Quantitative bound

### Theorem (Christandl, AMH 2020)

*There exists a  $p_0 \in (0, 1]$  such that for every  $p \leq p_0$  we have*

$$C_{\mathcal{F}(p)}(T) \geq \frac{1}{k} \chi(T^{\otimes k}) - 2\sqrt{2kcp} - 12cp - (1 + 4cp) h_2\left(\frac{4cp}{1 + 4cp}\right),$$

*for any  $k \in \mathbb{N}$  and where  $h_2$  denotes the binary entropy.*

### Corollary (Christandl, AMH 2020)

*For every quantum channel  $T : \mathcal{M}_{d_A} \rightarrow \mathcal{M}_{d_B}$  and every  $\delta > 0$ , there exists a threshold  $p(\delta, T) > 0$  such that*

$$C_{\mathcal{F}(p)}(T) \geq C(T) - \delta.$$

*for all  $0 \leq p \leq p(\delta, T)$ . In particular, we have*

$$\lim_{p \searrow 0} C_{\mathcal{F}(p)}(T) = C(T),$$

*for all quantum channels  $T : \mathcal{M}_{d_1} \rightarrow \mathcal{M}_{d_2}$ .*

**There is more!**

We have similar results for:

- Fault-tolerant classical capacity of classical-quantum channels.
- Fault-tolerant quantum capacity.

**Check out our article: [arXiv:2009.07161](https://arxiv.org/abs/2009.07161)**

**Thank you for your attention.**