

# Query-to-Communication Lifting Theorems for Adversary Bounds

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## Query complexity

- $D^{\text{dt}}(f)$  = deterministic queries

$$D^{\text{dt}}(\text{OR}_n) = n$$

- $R^{\text{dt}}(f)$  = randomized queries, 1/3 error on all inputs

$$R^{\text{dt}}(\text{OR}_n) = \Theta(n)$$

- $Q^{\text{dt}}(f)$  = quantum queries, 1/3 error on all inputs

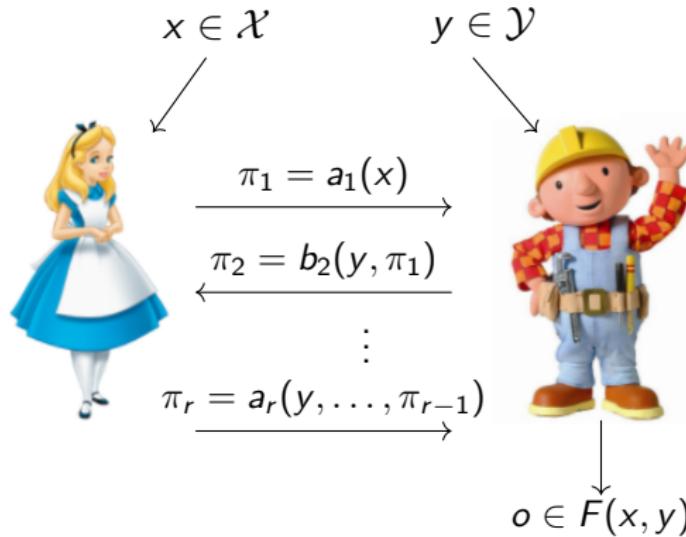
$$|i\rangle \xrightarrow{O_z} (-1)^{z_i} |i\rangle$$

$$Q^{\text{dt}}(\text{OR}_n) = \Theta(\sqrt{n}) \quad (\text{Grover search})$$

- These are easy to prove!

# Communication complexity

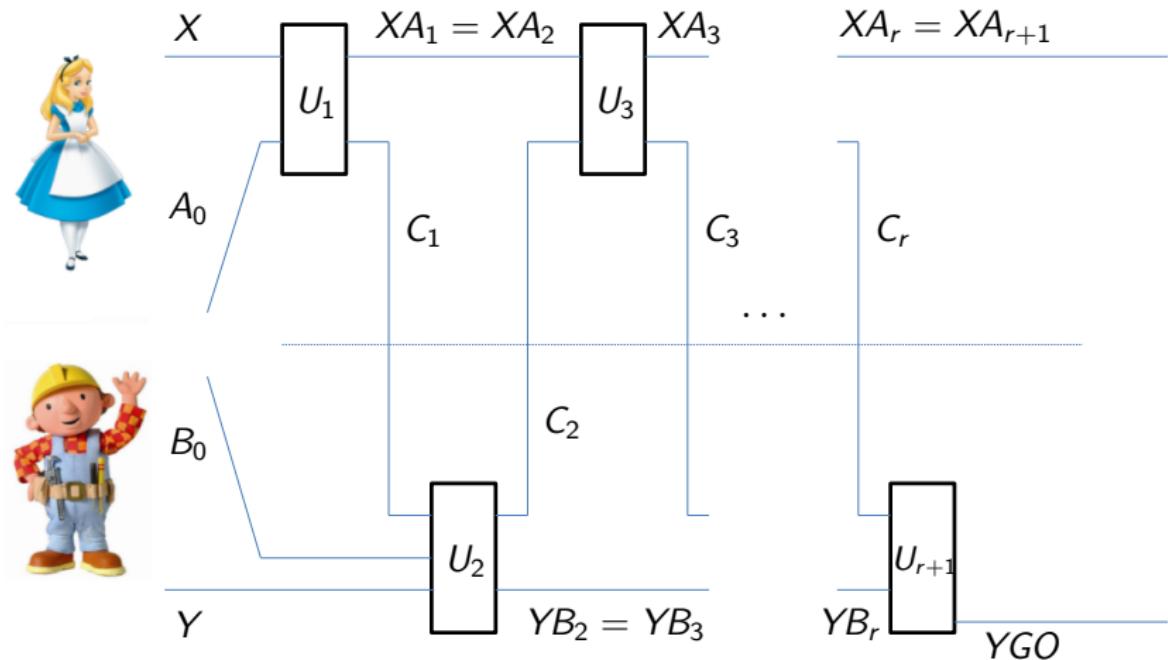
Known two-party relation  $F : \mathcal{X} \times \mathcal{Y} \times \mathcal{O}$



In randomized protocols, Alice and Bob share random bits and  $\pi_i$ -s depend on them.

# Communication complexity

## Quantum communication protocol



## Communication complexity

How many bits/qubits of communication is needed between Alice and Bob, to compute  $F$  for the worst case inputs?

- $D^{cc}(F)$  = deterministic communication
- $R^{cc}(F)$  = randomized communication, 1/3 error on all inputs
- $Q^{cc}(F)$  = quantum communication, 1/3 error on all inputs

Communication is more powerful than querying:

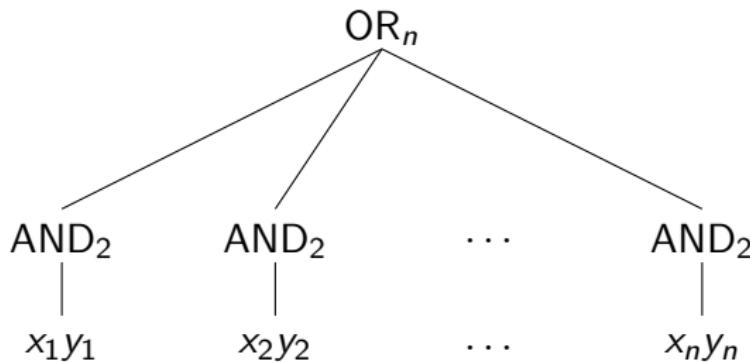
$$D^{cc}(\text{OR}_n) = R^{cc}(\text{OR}_n) = Q^{cc}(\text{OR}_n) = 1$$

Also much harder to prove lower bounds!

## Query vs communication

Sometimes, communication is no more powerful than query:

$$F = \text{Disj}_n = \text{OR}_n \circ \text{AND}_2^n$$



$$\text{D}^{\text{cc}}(\text{Disj}_n) = n \quad \text{R}^{\text{cc}}(\text{Disj}_n) = \Theta(n) \quad \text{Q}^{\text{cc}}(\text{Disj}_n) = \Theta(\sqrt{n})$$

## Composition with gadgets

Can  $f \circ G^n$  be as hard for communication as a general  $f$  is for query?

- $f = \text{OR}_n, G = \text{AND}_2$  ✓
- $f = \text{AND}_n, G = \text{AND}_2$  ✗
- $f = \text{AND}_n, G = \text{OR}_2$  ✓
- $f = \text{OR}_n, G = \text{OR}_2$  ✗

$G$  needs to contain both  $\text{AND}_2$  and  $\text{OR}_2$ .

Eg - 1. VER :  $\{0, 1, 2, 3\} \times \{0, 1, 2, 3\} \rightarrow \{0, 1\}$

$a \backslash b$	0	1	2	3
0	0	0	1	1
1	0	1	1	0
2	1	1	0	0
3	1	0	0	1

2. Inner product,  $\text{IP}_m(a, b) = a_1b_1 + \dots + a_m b_m \pmod 2$

## Lifting theorems

With an appropriate gadget,

$$\mathcal{C}^{\text{cc}}(f \circ G^n) = \Omega(\mathcal{C}^{\text{dt}}(f)).$$

Lifting theorems via simulation:

- $D^{\text{cc}}(f \circ \text{Ind}_m^n) = \Omega(D^{\text{dt}}(f) \cdot \log m)$ , with  $m = n^{O(1)}$  [RM99, GPW15]
- $D^{\text{cc}}(f \circ \text{IP}_m^n) = \Omega(D^{\text{dt}}(f) \cdot \log m)$ , with  $m = O(\log n)$  [WYY17]
- $R^{\text{cc}}(f \circ \text{Ind}_m^n) = \Omega(R^{\text{dt}}(f) \cdot \log m)$ , with  $m = n^{O(1)}$  [GPW17]
- $R^{\text{cc}}(f \circ \text{IP}_m^n) = \Omega(R^{\text{dt}}(f) \cdot \log m)$ , with  $m = O(\log n)$  [CFKMP19]

Constant-sized gadget lifting theorems:

- $\log \widetilde{\text{rank}}(f \circ G^n) = \Omega(\widetilde{\deg}(f))$  [She09]  
     $\downarrow$   
    lower bound on  $Q^{\text{cc}}(f \circ G^n)$       lower bound on  $Q^{\text{dt}}(f)$
- $R^{\text{cc}}(f \circ \text{VER}^n) = \Omega(\text{cbs}(f))$  [GP13]  
     $\downarrow$   
    lower bound on  $R^{\text{dt}}(f)$

# Lifting theorems

Our results:

- $R^{cc}(f \circ \text{VER}^n) = \Omega(\text{CAdv}(f))$   
↓  
stronger lower bound on  $R^{\text{dt}}(f)$
- $Q_r^{cc}(f \circ \text{VER}^n) = \Omega\left(\frac{\text{CAdv}(f)}{r^2}\right)$
- $Q^{cc}(f \circ G^n) = \Omega(\text{Adv}_1(f) \cdot \text{QICZ}(G))$   
↓  
lower bound on  $Q^{\text{dt}}(f)$

$\text{QICZ}(G)$  : (informally) related to secure 2-party computation

Comparison with previous results:

- $\text{CAdv}(f) = \Omega(\text{cbs}(f))$  for all partial functions  
 $\text{CAdv}(f) = \Omega(\text{cbs}(f)^{3/2})$  for a family of total functions
- $\text{Adv}_1(f) = \widetilde{O}(\text{deg}(f))$  for all partial functions [ABK+20]  
...but techniques may generalize!

## Adversary bounds (dual formulation)

$$\text{CAdv}(f) = \min_{\{q(z, i)\}} \max_z \sum_{i=1}^n q(z, i)$$

$$\text{s.t. } \sum_{i: z_i \neq w_i} \min\{q(z, i), q(w, i)\} \geq 1 \forall z, w \text{ s.t. } f(z) \cap f(w) = \emptyset$$

$$\text{Adv}(f) = \min_{\{q(z, i)\}} \max_z \sum_{i=1}^n q(z, i)$$

$$\text{s.t. } \sum_{i: z_i \neq w_i} \sqrt{q(z, i)q(w, i)} \geq 1 \forall z, w \text{ s.t. } f(z) \cap f(w) = \emptyset$$

$$\text{Adv}_1(f) = \min_{\{q(z, i)\}} \max_z \sum_{i=1}^n q(z, i)$$

$$\text{s.t. } \sqrt{q(z, i)q(w, i)} \geq 1 \forall z, w, i \text{ s.t. } f(z) \cap f(w) = \emptyset, \\ z \text{ and } w \text{ differ only on } i$$

# Showing an adversary lower bound

Given an algorithm/protocol

$$q(z, i) \sim \text{how much it learns } z_i$$

In query complexity,  $q(z, i) = \text{probability algorithm queries } i \text{ on } z \text{ (scaled)}$

1.  $\sum_{i=1}^n q(z, i) \leq \text{number of queries by } \mathcal{A} \ \forall z$
2. **CAdv( $f$ )**:  $\sum_{i:z_i \neq w_i} \min\{q(z, i), q(w, i)\} \geq 1 \ \forall z, w \text{ s.t. } f(z) \cap f(w) = \emptyset$
- 2'. **Adv( $f$ )**:  $\sum_{i:z_i \neq w_i} \sqrt{q(z, i)q(w, i)} \geq 1 \ \forall z, w \text{ s.t. } f(z) \cap f(w) = \emptyset$

## Information complexity of communication protocols

Distribution  $\mu$  on inputs  $X, Y$  of a classical communication protocol with shared randomness  $R$

⇒ Induced distribution on the transcript  $\Pi$ .

$$\text{IC}(\Pi, \mu) = I(X : \Pi | YR)_\mu + I(Y : \Pi | XR)_\mu$$

- $\text{CC}(\Pi) \geq \text{IC}(\Pi, \mu) \ \forall \mu$
- Chain rule for  $X_1 \dots X_n$ :

$$I(X : \Pi | YR) = \sum_{i=1}^n I(X_i : \Pi | X_{<i} YR)$$

QIC: quantum analogue (defined round-by-round) [Tou15]

## Information complexity lower bounds

$\mu_0$ : uniform distribution on 0-inputs of  $\text{AND}_2$

$\mu_1$ : uniform distribution on 1-inputs of  $\text{OR}_2$

[BJKS04]: For any classical protocol  $\Pi$  for  $\text{AND}_2$ ,  $\text{IC}(\Pi, \mu_0) = \Omega(1)$ .

Similarly, for any classical protocol  $\Pi'$  for  $\text{OR}_2$ ,  $\text{IC}(\Pi', \mu_1) = \Omega(1)$ .

[BGK+18]: For any  $r$ -round quantum protocol  $\Pi^r$ ,  $\text{QIC}(\Pi^r, \mu_0) = \tilde{\Omega}\left(\frac{1}{r}\right)$ .

For any  $r$ -round quantum protocol  $\Pi'^r$ ,  $\text{QIC}(\Pi'^r, \mu_1) = \tilde{\Omega}\left(\frac{1}{r}\right)$ .

- ▶ Optimal up to logarithmic factors.

## The VER gadget

$$\text{VER}(a, b) = \begin{cases} 1 & \text{if } a + b = 2 \text{ or } 3 \pmod 4 \\ 0 & \text{otherwise.} \end{cases}$$

1. **Flippability:** Given  $(a, b)$  Alice and Bob can locally generate  $(a', b')$  such that  $\text{VER}(a', b') = 1 - \text{VER}(a, b)$ .
2. **Random self-reducibility:** Given  $(a, b)$  Alice and Bob can use shared randomness to uniformly sample from  $\text{VER}^{-1}(\text{VER}(a, b))$ .
3. **Non-triviality:** VER contains  $\text{AND}_2$  and  $\text{OR}_2$  as subfunctions.

1.+2.  $\Rightarrow$  Distinguishing  $m$  inputs to VER evaluating to  $0^m$  vs  $1^m$  on average  
 $\Rightarrow$  Computing VER

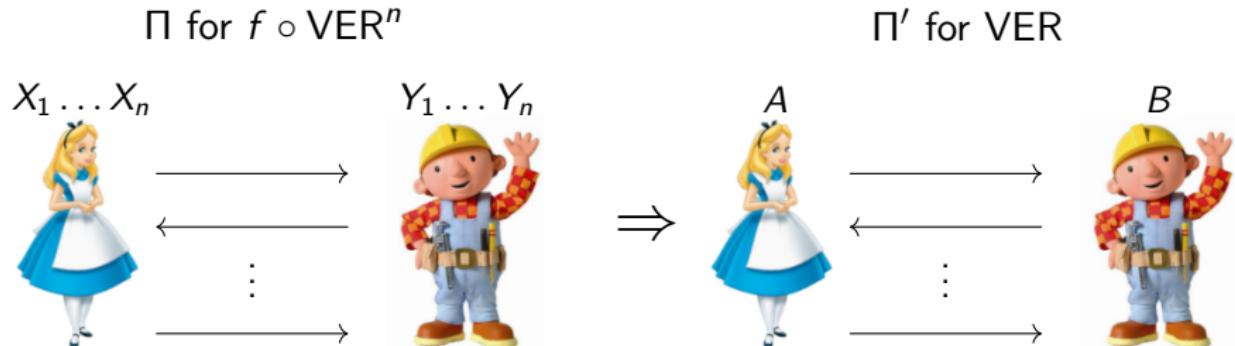
3.  $\Rightarrow$  Protocol that computes VER has

$$\text{IC}(\Pi, \mu_0), \text{IC}(\Pi, \mu_1) = \Omega(1)$$

$$R^{cc}(f \circ \text{VER}^n) = \Omega(\text{CAdv}(f))$$

$q(z, i) = \text{info}(\Pi, z, i)$  w.r.t. uniform  $X_1 \dots X_n, Y_1 \dots Y_n$  evaluating to  $z$   
~ information about  $X_i, Y_i$  conditioned on other variables

Chain rule:  $\sum_i \text{info}(\Pi, z, i) \leq \text{CC}(\Pi)$



$z, w$  differing on  $\mathcal{B}$ ,  $f(z) \cap f(w) = \emptyset$

$$\sum_{i \in \mathcal{B}} \min\{\text{info}(\Pi, z, i), \text{info}(\Pi, w, i)\} \geq \text{IC}(\Pi', \mu_0) \text{ or } \text{IC}(\Pi', \mu_1) = \Omega(1)$$

$$Q_r^{cc}(f \circ \text{VER}^n) = \Omega\left(\frac{C\text{Adv}(f)}{r^2}\right)$$

Same proof gives  $\Omega\left(\frac{C\text{Adv}(f)}{r}\right)$ ?

- ▶ Problems with chain rule 😞

Use measure HQIC rather than QIC:

$$\frac{1}{r} \text{HQIC}(\Pi^r, \mu) \leq \text{QIC}(\Pi^r, \mu) \leq \log |\Pi^r|$$

**Corollary:**  $\text{CC}(\Pi^r) \geq \max\{r, \text{CAdv}(f)/r^2\} \geq \text{CAdv}(f)^{1/3}$

- ▶ New for relations

## Future directions

- Solve chain rule issue:  $\Omega(\mathbf{CAdv}(f)/r)$ ,  $\Omega(\mathbf{sAdv}(f) \cdot \mathbf{QICZ}(G))$  lower bounds for  $\mathbf{Q}^{\mathbf{cc}}(f \circ G^n)$ ?
- Unconditionally lower bound  $\mathbf{QICZ}(G)$  helpful: techniques from cryptography helpful?
- $\mathbf{Adv}^{\pm}(f)$  lower bound? For  $\mathbf{R}^{\mathbf{cc}}(f \circ G^n)$ ?